

#### Lecture-11

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# **Definitions**

- Identical particles in QM are not distinguishable. But this has dramatic consequences in the quantum mechanical description of a system.
- Suppose we have a system of two electrons. The wave function of this system which contains information about position and spin is given by:

$$\Psi = \Psi(\mathbf{r}_1, s_1; \mathbf{r}_2, s_2) = \Psi(1, 2)$$

$$1 = \mathbf{r}_1, s_1 \text{ and } 2 = \mathbf{r}_2, s_2$$



# **Definitions**

 Since the particles are identical and not distinguishable then the mutual change 1<-> 2 must not have measurable consequences in the system's state. This means that:

$$\Psi(2,1) = e^{ia}\Psi(1,2)$$

and implies that  $e^{i2a} = 1 \Rightarrow e^{ia} = \pm 1$  so the final form is

$$\Psi(2,1) = \pm \Psi(1,2)$$



# **Definitions**

- This relation says to us that: The wave-function of a system of two identical particles must be either symmetric or anti-symmetric with respect to the interchange of its variables.
- Now the question is: which of the two signs, + and -, we must keep? The answer is given by Pauli's Principle:
- All the particles with integer spin (bosons) are described by symmetrical wave-functions. All the particles with half-integer spin (fermions) are described by anti-symmetrical wave-functions.



- Assume a system of two identical electrons interacting with electric only forces which they do not involve the spin.
- The total wave-function is the separable in a product of a spatial part and a spin part:

$$\Psi = \Psi(\mathbf{r}_1, s_1; \mathbf{r}_2, s_2) = \psi(\mathbf{r}_1, \mathbf{r}_2) X(s_1, s_2)$$

We know that we have two different cases for the electron spins:



■ A) The two electrons have parallel spins (total spin *S*=1). In this case

$$X_{\uparrow\uparrow}\left(s_{1}, s_{2}\right) = \begin{cases} X_{\uparrow}\left(s_{1}\right) X_{\uparrow}\left(s_{2}\right) \\ \frac{1}{\sqrt{2}}\left(X_{\uparrow}\left(s_{1}\right) X_{\downarrow}\left(s_{2}\right) + X_{\downarrow}\left(s_{1}\right) X_{\uparrow}\left(s_{2}\right)\right) \\ X_{\downarrow}\left(s_{1}\right) X_{\downarrow}\left(s_{2}\right) \end{cases}$$

From where it is obvious that

$$X_{\uparrow\uparrow}(s_2, s_1) = X_{\uparrow\uparrow}(s_1, s_2)$$



 This has the following implication. Since the electrons are fermions the total wave-function must be symmetric, thus:

$$\Psi(\mathbf{r}_{1}, s_{1}; \mathbf{r}_{2}, s_{2}) = -\Psi(\mathbf{r}_{2}, s_{2}; \mathbf{r}_{1}, s_{1}) \Rightarrow$$

$$\psi(\mathbf{r}_{1}, \mathbf{r}_{2}) X(s_{1}, s_{2}) = -\psi(\mathbf{r}_{2}, \mathbf{r}_{1}) X(s_{2}, s_{1}) \Rightarrow$$

$$\psi(\mathbf{r}_{1}, \mathbf{r}_{2}) = -\psi(\mathbf{r}_{2}, \mathbf{r}_{1})$$
Anti-symmetric spatial wavefunction



■ B) The two electrons have anti-parallel spins (total spin *S*=0). In this case

$$X_{\uparrow\downarrow}\left(s_{1}, s_{2}\right) = \frac{1}{\sqrt{2}}\left(X_{\uparrow}\left(s_{1}\right)X_{\downarrow}\left(s_{2}\right) - X_{\downarrow}\left(s_{1}\right)X_{\uparrow}\left(s_{2}\right)\right)$$

From where it is obvious that

$$X_{\uparrow\downarrow}(s_2,s_1) = -X_{\uparrow\downarrow}(s_1,s_2)$$



 Since the electrons are fermions the total wavefunction must be anti-symmetric, thus:

$$\Psi(\mathbf{r}_{1}, s_{1}; \mathbf{r}_{2}, s_{2}) = -\Psi(\mathbf{r}_{2}, s_{2}; \mathbf{r}_{1}, s_{1}) \Rightarrow$$

$$\psi(\mathbf{r}_{1}, \mathbf{r}_{2}) X(s_{1}, s_{2}) = -\psi(\mathbf{r}_{2}, \mathbf{r}_{1})(-X(s_{2}, s_{1})) \Rightarrow$$

$$\psi(\mathbf{r}_{1}, \mathbf{r}_{2}) = \psi(\mathbf{r}_{2}, \mathbf{r}_{1})$$
Symmetric spatial wave-function



If the wave-function is anti-symmetric then, as we said:

$$\psi(\mathbf{r}_1,\mathbf{r}_2) = -\psi(\mathbf{r}_2,\mathbf{r}_1)$$

• But if we put  $\mathbf{r}_1 = \mathbf{r}_2 = \mathbf{r}$  we get

$$\psi(\mathbf{r},\mathbf{r}) = -\psi(\mathbf{r},\mathbf{r}) \Rightarrow \psi(\mathbf{r},\mathbf{r}) = 0$$

 This means that the probability to find two electrons at the same point of the space is zero! This is a special consequence of the Pauli exclusion principle.



- This has more interesting consequences. The zero value of the spatial wave-function means that as the two electrons approach each other the wave-function gets smaller values. Thus the probability becomes smaller or, in other words: Electrons with parallel spins tend to "repel" each other.
- On the contrary when the spins are anti-parallel they tend to "attract" each other!

Repulsion of parallel spins explains the ferromagnetism. The electrons, in order to minimize their electrostatic energy, develop parallel spins. Thus they create a large macroscopic magnet. The atomic magnets line up not because of their magnetic interaction but of the combination of electric repulsion and Pauli principle.



• If we assume *N* particles that interact with a common external potential but **not** between each other then the total spatial wave-function is a product of *N* separate spatial wave-functions:

$$\psi(\mathbf{r}_1,\mathbf{r}_2,...,\mathbf{r}_N) = \psi(\mathbf{r}_1)\cdots\psi(\mathbf{r}_N)$$

• Assume now that we have the simplest multielectron system which is the He atom and that one electron is placed at state  $\alpha$  and the other at a state  $\beta$ such

$$\alpha \equiv n_1 l_1 m_1 \qquad \beta \equiv n_2 l_2 m_2$$



According to the what we said the spatial wavefunction of the system has the form:

$$\psi_{\alpha\beta}(\mathbf{r}_1,\mathbf{r}_2) = \psi_{\alpha}(\mathbf{r}_1)\psi_{\beta}(\mathbf{r}_2)$$
 electron 1 at state  $\alpha$ , electron 2 at state  $\beta$ 

But the electrons are identical particles so if we interchange the particles:

$$\psi_{\beta\alpha}(\mathbf{r}_{1},\mathbf{r}_{2}) = \psi_{\beta}(\mathbf{r}_{1})\psi_{\alpha}(\mathbf{r}_{2}) \equiv \psi_{\alpha}(\mathbf{r}_{2})\psi_{\beta}(\mathbf{r}_{1})$$

electron 1 at state  $\beta$ , electron 2 at state  $\alpha$ 



Now what is the system spatial state? Any linear combination is a solution of the Schrödinger equation. To satisfy Pauli principle they must be either symmetric or anti-symmetric:

$$\psi_{S} = \frac{1}{\sqrt{2}} \left( \psi_{\alpha\beta} + \psi_{\beta\alpha} \right) = \frac{1}{\sqrt{2}} \left( \psi_{\alpha} \left( \mathbf{r}_{1} \right) \psi_{\beta} \left( \mathbf{r}_{2} \right) + \psi_{\beta} \left( \mathbf{r}_{1} \right) \psi_{\alpha} \left( \mathbf{r}_{2} \right) \right)$$

$$\psi_{A} = \frac{1}{\sqrt{2}} \left( \psi_{\alpha\beta} - \psi_{\beta\alpha} \right) = \frac{1}{\sqrt{2}} \left( \psi_{\alpha} \left( \mathbf{r}_{1} \right) \psi_{\beta} \left( \mathbf{r}_{2} \right) - \psi_{\beta} \left( \mathbf{r}_{1} \right) \psi_{\alpha} \left( \mathbf{r}_{2} \right) \right)$$



• The total wave-functions for the cases of total spin (S=1, S=0) will be:

$$\psi_{\uparrow\uparrow} = \psi_A(\mathbf{r}_1, \mathbf{r}_2) X_{\uparrow\uparrow}(s_1, s_2) \qquad \psi_{\uparrow\downarrow} = \psi_S(\mathbf{r}_1, \mathbf{r}_2) X_{\uparrow\downarrow}(s_1, s_2)$$

Note that if  $\alpha = \beta$  then  $\psi_A = 0$  so the only spin state is this with opposite spins. This is the **Pauli exclusion principle**.



# Exchange Degeneracy

- As we said in the transparency 14 "Any linear combination is a solution of the Schrödinger equation". This would bring a kind of degeneracy in the system. Even after a complete measurement there would be the so called exchange degeneracy. No further measurement would lift it because this would mean that there is a physical means to distinguish a particle from another. This would contradict the indistinguishability principle of identical particles.
- Pauli principle is not something arbitrary. It is the additional condition that lifts the exchange degeneracy!