



## Toughening mechanisms and mechanical properties of graphene nanosheet-reinforced alumina



Iftikhar Ahmad <sup>a,\*</sup>, Mohammad Islam <sup>a</sup>, Hany Sayed Abdo <sup>a</sup>, Tayyab Subhani <sup>b</sup>, Khalil Abdelrazek Khalil <sup>c</sup>, Abdulhakim A. Almajid <sup>c</sup>, Bahareh Yazdani <sup>d</sup>, Yanqiu Zhu <sup>d</sup>

<sup>a</sup> Deanship of Scientific Research, Advanced Manufacturing Institute, King Saud University, P.O. Box 800, Riyadh 11421, Saudi Arabia

<sup>b</sup> Composite Research Center, Department of Materials Science and Engineering, Institute of Space Technology, Islamabad, Pakistan

<sup>c</sup> Department of Mechanical Engineering, King Saud University, P.O. Box 800, Riyadh 11421, Saudi Arabia

<sup>d</sup> College of Engineering, Mathematics and Physical Sciences, University of Exeter, Exeter EX4 4QF, UK

### ARTICLE INFO

#### Article history:

Received 3 June 2015

Received in revised form 20 September 2015

Accepted 21 September 2015

Available online 25 September 2015

#### Keywords:

Ceramic matrix composites

Nanocomposites

HFIHS

Induction sintering

Graphene nanosheets

GNS

Fracture toughness

Interface

### ABSTRACT

High-frequency induction heat sintering (HFIHS) technology was employed for fabrication of highly dense (>99.5%) graphene-reinforced alumina nanocomposites. The mixed powders were consolidated at temperatures up to 1500 °C with 0.25 to 3.0 wt.% exfoliated graphene nanosheets (GNS). Compared with monolithic alumina, there was grain size refinement by 46% with an associated increase in the fracture toughness (by 72%) and hardness values (7%). Electron microscopy revealed that exfoliated GNS retained their inherent planar structure against any possible chemical and/or thermal adverse effect caused by rapid sintering. The intrinsic 2-dimensional sheet morphology and flexibility of the GNS promoted formation of large Al<sub>2</sub>O<sub>3</sub>/GNS interfacial area, thus leading to a dominant reinforcing mechanism via grain anchoring. The presence of GNS at the grain boundary areas not only inhibited grain growth through pinning effect, it also modified friction traits at nanoscale level by inducing slip-stick phenomenon that increased the Al<sub>2</sub>O<sub>3</sub>/GNS interfacial strength by means of improved efficiency of graphene pull-out and crack-bridging that subsequently imparted toughness and altered the failure behavior of the composites. A possible correlation between GNS incorporation into Al<sub>2</sub>O<sub>3</sub> matrix and the resulting mechanical properties is established through high-resolution TEM studies that indicated graphene/alumina interface formation without any presence of severe intermediate phases.

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### 1. Introduction

Numerous strategies have been explored to alleviate the brittleness issue of monolithic alumina (Al<sub>2</sub>O<sub>3</sub>) in order to broaden the scope of this promising structural ceramic from conventional industrial uses to advanced engineering applications including aerospace components, space vehicles, missiles, automobiles and other military products [1–4]. To meet this challenge, intensive attention had been focused on the development of nanostructures-reinforced Al<sub>2</sub>O<sub>3</sub> matrix composites technology over the past decade. Earlier attempts to produce Al<sub>2</sub>O<sub>3</sub>-based composites containing ceramic or metallic whiskers, fibers or particulates could not satisfy the requirement of their direct use as structural components [5,6]. Incorporation of carbon nanotubes (CNT) into Al<sub>2</sub>O<sub>3</sub> has, to a certain extent, led to improvements in toughness, strength and other mechanical properties in recent years [7–11]. However, the exceptional strength and elasticity associated with one-dimensional CNT still could not be fully exploited for Al<sub>2</sub>O<sub>3</sub> due to technical challenges including CNT agglomeration, inadequate densification

processes and poor Al<sub>2</sub>O<sub>3</sub>/CNT interfacial strength [12–14]. In the wake of breakthroughs in graphene exfoliation technology, it is pertinent that new strategies are devised for addition of the two-dimensional graphene as a novel reinforcement in to different ceramic matrices [15].

Graphene has sp<sup>2</sup> bonded carbon atoms arranged in a planar configuration, and is the stiffest and strongest material known so far. The remarkable mechanical strength, elasticity and unique functional characteristics predicted for graphene suggest that, after being reinforced properly by graphene, the Al<sub>2</sub>O<sub>3</sub> ceramic can be converted to a tougher, stronger, stiffer and thermally as well as electrically conductive material [16–18]. As compared to CNT, there are several advantages associated with graphene addition namely larger specific surface area, superior mechanical strength/flexibility, less tendency of entanglement and far more simple to disperse in a ceramic matrix. Besides, graphene synthesis in high yields is easier, more economical and poses less health hazards than those of CNT [19–21], making it an ideal candidate to replace CNT in nanocomposites. A review of the published literature indicates that most of the work thus far focused on graphene reinforcement in the Si<sub>3</sub>N<sub>4</sub> ceramic and polymer matrices with few studies on Al<sub>2</sub>O<sub>3</sub> matrix [15,22]. For 1.5 and 3.0 vol.% graphene additions into Si<sub>3</sub>N<sub>4</sub>, an increase in the fracture toughness (*K<sub>IC</sub>*) values by 235 and 45% was

\* Corresponding author.

E-mail address: [ifahmad@ksu.edu.sa](mailto:ifahmad@ksu.edu.sa) (I. Ahmad).

reported [22,23]. In case of Al<sub>2</sub>O<sub>3</sub> based composites, moderately higher fracture toughness values, by 27, 28, 33 and 53%, were reported for composites containing 0.3 [15] and 0.8 vol.% graphene [24] and 0.22 [25] and 2.0 wt.% graphene [26]. A recent approach involving use of both graphene and carbon nanotubes was employed by Yazdani et al. to produce high performance Al<sub>2</sub>O<sub>3</sub> nanocomposites using hot pressing technique with reported increase in the fracture toughness and flexural strength values by 63 and a 15% [27].

One of the potential drawbacks associated with conventional pressureless sintering and hot-pressing (HP) techniques for ceramic composite fabrication are chemical/thermal deterioration of the graphene crystalline quality and grain growth of the matrix materials due to prolonged heating cycle [16,27]. To eliminate these issues, novel sintering techniques involving relatively lower sintering temperatures and/or reduced dwell times at elevated temperatures, have been explored [28], rapid spark-plasma sintering (SPS) process one of them for fabrication of Al<sub>2</sub>O<sub>3</sub>/graphene nanocomposite [22–26]. Another relatively new rapid sintering technology is high-frequency induction heat sintering (HFHS) process that enables rapid heating to desirable consolidation temperatures and has been successfully utilized for consolidation and sintering of several metallic, monolithic ceramics and composite powders to near theoretical densities. After spark plasma sintering, HFHS is a new addition in high-temperature ceramic powder compaction, involving consolidation at desirable temperatures in a very short time span after heating at extremely high rate under vacuum and uniaxial pressure. Although the ceramic powder consolidation is carried out in a graphite die like in case of hot-pressing, the heating is done using high-frequency power source to drive large alternative current through a Cu induction coil surrounding the graphite mold [28]. In this way, the HFHS provides a right amount of heat to the loose composite powders quickly for them to attain maximum possible densification under pressure with minimum damage to the primary structures of the nanocomposite constituents. Unlike mass diffusion and substantial material transportation across grain boundaries as in case of prolonged conduction heat sintering cycles, the HFHS process relies on Joule heating principle causing creep and localized densification with nominal diffusion/material transportation mechanism [29,30]. Thus far, the exploration of this promising processing route for Al<sub>2</sub>O<sub>3</sub>/graphene nanocomposites is, however, scarce [31].

In this paper, we report fabrication of Al<sub>2</sub>O<sub>3</sub>/graphene ceramic matrix nanocomposites containing 0.25 to 3.0 wt.% graphene through HFHS process, their characterization/testing for investigation of microstructural features and interfacial studies, microhardness and fracture toughness testing, failure analysis and possible structure/property correlation to induce toughening mechanism that may be responsible for properties enhancement in the resulting nanocomposites. The study encompasses thermal exfoliation of graphite flakes to obtain graphene followed by its characterization, mixing of Al<sub>2</sub>O<sub>3</sub> and graphene powders and subsequent consolidation via HFHS process. The Al<sub>2</sub>O<sub>3</sub>/graphene nanocomposites with different graphene content were examined to assess graphene dispersion into Al<sub>2</sub>O<sub>3</sub> matrix and its role towards densification and grain refinement besides improvement in microhardness and fracture toughness without any degradation of the composite constituents.

## 2. Materials and methodology

### 2.1. Sample preparation

Using Hummer's method [32], the as-received surface enhanced graphite flakes (Grade-3775, Asbury Graphite Mills Inc., NJ, USA) were first chemically modified to graphite oxide (GO) followed by thermal exfoliation upon heating to 1600 °C at 1000 °C/min and  $4.5 \times 10^{-2}$  Torr pressure in an induction-heat furnace [33]. The graphene nanosheets (GNS) so obtained were then mixed with Al<sub>2</sub>O<sub>3</sub> nanopowder (Sigma Aldrich, UK) with an average particle size of

<30 nm via an aqueous colloidal technique. For this purpose, designed amounts of GNS were separately added to 100 ml distilled water in the presence of sodium dodecyl sulfate (SDS) as surfactant. The aqueous GNS/SDS slurry was then agitated for 30 min using a sonic probe (Vibracell VCX-750, Sonics, Materials Incorporation, USA) at 750 W power and 20 kHz frequency. The resulting mixture was kept for 2 weeks to ensure thorough adsorption of the SDS onto the GNS surfaces. Another aqueous suspension of the Al<sub>2</sub>O<sub>3</sub> nanoparticles was prepared separately from ultrasonic vibration treatment for 30 min. Both GNS/SDS and Al<sub>2</sub>O<sub>3</sub> aqueous slurries were mixed with final dispersion/homogenization treatment in the form of ultrasonic agitation for 60 min by keeping pH value at 10 and then dried at 120 °C. Following this procedure, a batch of 4 g loose composite mixture for each composition was prepared. The dried nanocomposite powders were then consolidated in a graphite die at different conditions of temperatures (1400 and 1500 °C) and uniaxial pressures (30, 50 and 60 MPa) in the HFHS apparatus (HF Active Sinter System, ELTEK, South Korea). Once adequate vacuum level of 45 mTorr was attained, the desirable load was applied and the temperature was raised at 150 °C/min to certain temperature. The temperature was monitored using an optical pyrometer (Thermalert TX, Raytek GmbH, Germany). In this way, 3 disks of  $\phi 10 \times 3$  mm were fabricated for each nanocomposite formulations based on GNS content and pure monolithic Al<sub>2</sub>O<sub>3</sub> reference samples were also made under the same processing conditions for comparison purposes.

### 2.2. Density measurement and structural characterization

Measurement of the apparent densities for all the sintered nanocomposite samples was conducted using the Archimedes method. For relative density calculation, the theoretical densities of Al<sub>2</sub>O<sub>3</sub> and GNS were considered to be 3.97 and 2.10 g/cm<sup>3</sup>, respectively [14,27]. The X-ray diffraction patterns were obtained by means of an X-ray diffraction system (D-8 Discover, Bruker, Germany) and using CuK $\alpha$  monochromatic radiation. The microstructural examination and grain size measurements, for the polished or thermally etched (1400 °C for 15 min in an inert atmosphere) samples as well as fractured surfaces, were performed by operating a field-emission scanning electron microscope (JSM-7600F, JEOL, Japan), whereas high-resolution electron microscopy of the GNS and the Al<sub>2</sub>O<sub>3</sub>/GNS interfacial area was carried out using field-emission transmission electron microscope (2100F, JEOL, Japan). For this purpose, the GNS were first dispersed in acetone and then transferred onto holey-carbon Cu grid. On the other hand, for Al<sub>2</sub>O<sub>3</sub>/GNS interfacial studies, a fine polished nanocomposite sample was further thinned by ion-milling on an ion – slicer (EM 09100, JEOL, Japan).

### 2.3. Measurement of mechanical properties

The microhardness of all the polished samples was recorded for 9.8 N applied load and 15 s dwell time using a microhardness tester (Buehler-micromet 5114, Akashi corporation, Japan) and the Vickers hardness number (*H*) values were further converted to GPa [34]. Nanoindentation tests were performed on all the sintered samples using a NanoTest machine (Micro Materials, UK) at 200 mN force and 30 s hold time to allow significant plastic deformation. The nanoindentation technology provides a reliable method to measure the *E* value of ceramic materials by sensing the penetration depth of indenter at submicron scale. In order to calculate the *E* value, the maximum load (*F*<sub>max</sub>), the maximum displacement (*h*<sub>max</sub>), the elastic contact stiffness ( $C = \frac{dF}{dh}$ ) and the reduced modulus ( $E = \frac{\sqrt{\pi}}{2C\sqrt{A_p}}$ ) values were directly measured from machine recorded load–displacement curve, in unloading condition, according to the method proposed by Oliver and Pharr [35]. Furthermore, to avoid the issues of crack formation during indentation small load (200 mN) were chosen and a fine Berkovich tip indenter

was selected to confine the indentation area within submicron dimensions. Finally the  $E$  value for all samples was calculated employing Equation-1 [36]

$$E = \frac{[1 - V_s^2]}{\left[ \frac{1}{E_r} - \frac{[1 - V_i^2]}{E_i} \right]} \quad (1)$$

where  $V_s$  and  $V_i$  are Poisson's ratio for the test specimen and the Berkovich indenter with respective values of 0.23 for monolithic  $\text{Al}_2\text{O}_3$  and composites and 0.07 for diamond indenter and  $E_i$  is the elastic modulus of the diamond indenter (1140 GPa).

The  $K_{IC}$  value for all samples was measured using direct crack measurement (DCM) technique. DCM is an attractive technique due to the simplicity and expediency of experiments thus allows estimating the local and bulk fracture properties of the brittle materials like ceramics despite questions on its reliability and accuracy and this issue will be discussed in section 3.5. A Vickers diamond pyramid tip was adopted to produce well-defined radial crack traces on polished ceramic surfaces (in perpendicular direction to the pressure applied during sintering) and the crack lengths for each crack emerging from every corner of an indent during Vickers hardness test were measured using SEM and averaged. For each nanocomposite formulation and monolithic  $\text{Al}_2\text{O}_3$ , at least 10 indents were made. Finally, the elastic modulus ( $E$ ), microhardness ( $H$ ), the applied load ( $P$ ) and the radial crack length ( $c$ ) were obtained from combined analytical methods and  $K_{IC}$  value was computed according to the empirical relationship (Equation-2) proposed by Chantikul et al. [37],

$$K_{IC} = 0.016 \left( \frac{E}{H} \right)^{\frac{1}{2}} \left( \frac{P}{C^{\frac{3}{2}}} \right) \quad (2)$$

### 3. Results and discussion

#### 3.1. Synthesis and distribution of graphene

The quality and characteristics of the thermally exfoliated GNS was assessed through extensive electron microscopy studies and the findings are presented in Fig. 1. The SEM results (Fig. 1a,b) clearly represent two-dimensional geometrical feature of the GNS with an average lateral dimension of  $\sim 2 \mu\text{m}$ . The thickness of the nanosheet as estimated from the edge of a single GNS (Fig. 1b) is on the order of 3–8 nm. The slight bending of the sheet at the edges and the presence of planar step are also evident in the high magnification image, indicative of the fact that during complex thermal reduction, the GO underwent stern chemical and physical processes prior to splitting into slender arrays of GNS. During transmission electron microscope (TEM) studies, the thickness of the GNS sheet was discerned from the image contrast with light contrast phase representing a single graphene layer (white arrow) and the relatively darker contrast arising from GNS made up of few layers as well as crumpled or wrinkled edges (black arrow). The edge of one such GNS was also viewed at high-resolution (Fig. 1d) and from the cross-section, the thickness of the nanosheet was estimated to be  $\sim 7 \text{ nm}$ . The rapid thermal exfoliation promotes activities including (i) eradication of the intercalates like  $\text{H}_2\text{O}$  molecules and oxides groups and (ii) rigorous folding/unfolding along with reshuffling of individual graphene layers, under rapid thermal shocks [38]. Despite these chemical and physical actions, microscopic details corroborate that the GNS showed great resistance to degradation and stayed thermally stable against high temperature exfoliation process, maintaining its structural integrity.

In contrast with one-dimensional CNT structures with their tendency towards entanglement [15], the two-dimensional morphology of the GNS makes its dispersibility into the  $\text{Al}_2\text{O}_3$  matrix relatively less problematic. In case of addition of nanostructures to a ceramic powder, an environment-friendly water based colloidal chemistry route can eliminate the agglomeration issue, if any [39]. For dispersion in aqueous medium, probe-sonication method provided highly energetic sonic

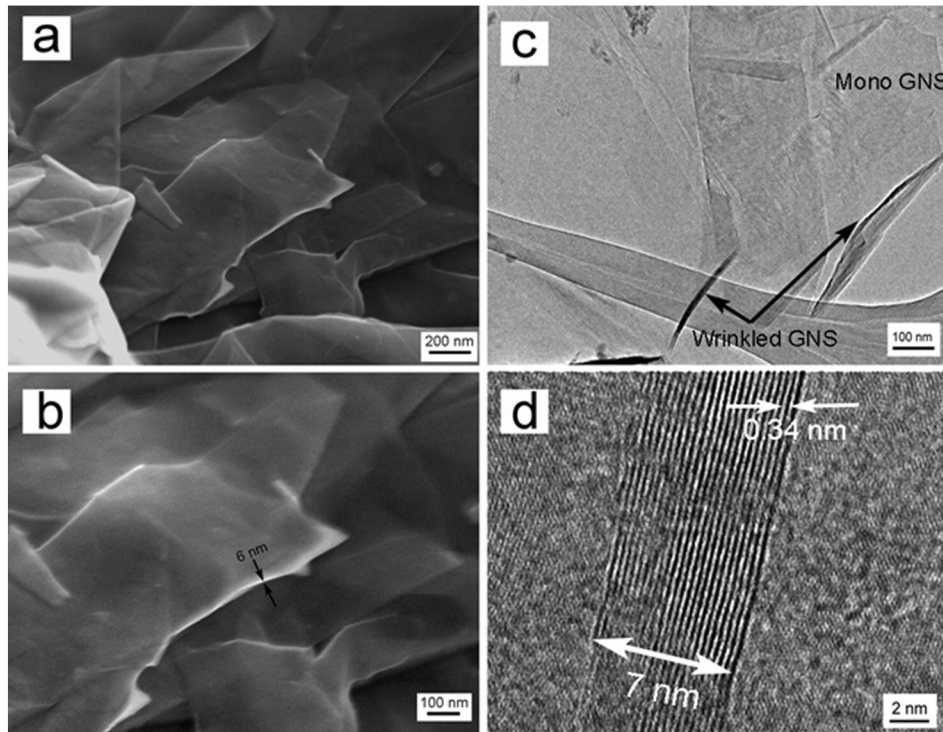


Fig. 1. (a, b) SEM microstructures of thermally exfoliated GNS at low and high magnification representing GNS stacks and its edges; (c, d) TEM micrographs of few wrinkled and folded GNS and high-resolution view of the GNS cross-section with lattice fringe spacing of 0.34 nm.

waves to help disentangle the GNS agglomerates, whereas the SDS surfactant adsorbed on the GNS surfaces ensured homogeneous GNS distribution in the ceramic powder through generation of a positively-charged electrostatic repulsive force for GNS to counteract the van der Waals attraction. The success of this route in obtaining well-dispersed GNS was manifested by TEM and SEM examination of the  $\text{Al}_2\text{O}_3$ /GNS powder mixture and consolidated samples, as shown in Fig. 2. The TEM micrograph represents finely dispersed individual GNS (black arrow) with homogeneous distribution of  $\text{Al}_2\text{O}_3$  nanoparticles (white arrow) over their surface. The ultrathin nanosheets exhibit smooth surface and, to some extent, folded texture with high area density of the  $\text{Al}_2\text{O}_3$  nanoparticles. After HFIHS process, the surface of the consolidated nanocomposite samples indicate uniform dispersion of the GNS within the ceramic matrix located at the grain boundaries (Fig. 2b). While relatively large patches of the nanosheets were observed to sandwich between adjacent grains or even bend along the grain boundary area (Fig. 2c) revealing its flexible nature. In some areas, thicker nanosheets seemed to undergo folding giving rise to porosity in the adjacent area (Fig. 2d). Nevertheless, the high values of relative density indicated good densification characteristics during HFIHS process cycle.

### 3.2. Densification technique and grain size analysis

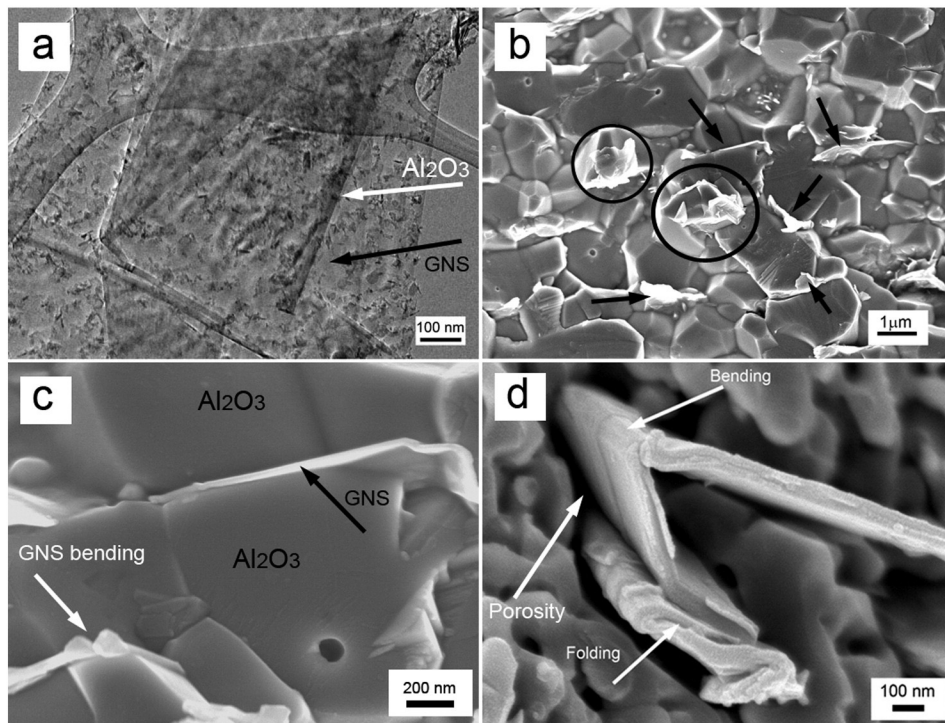
The density and grain size values for all the samples, consolidated upon heating at  $150^\circ\text{C}/\text{min}$  for 3 min dwell time as a HFIHS protocol, are presented in Fig. 3. While monolithic  $\text{Al}_2\text{O}_3$  samples produced under various sintering HFIHS conditions exhibited near theoretical density without much difficulty, sintering of  $\text{Al}_2\text{O}_3$ /GNS nanocomposites was not that straight-forward. For higher GNS loadings of 1.5 and 3.0 wt.%, the densification was adversely affected at relatively low processing conditions of  $1400^\circ\text{C}$  and 30 MPa. To attain acceptable level of densification, the temperature and applied pressure were raised to  $1500^\circ\text{C}$  and 60 MPa, respectively (Fig. 3a). Fig. 3b depicts the grain size and shape in terms of its length to width ratio for all the samples by demonstrating the influence of GNS content on the  $\text{Al}_2\text{O}_3$  grains

size. There is about 20 and 46% reduction in the average grain size values upon incorporation of 0.25 and 0.5 wt.% GNS, respectively. The GNS addition also seems to promote wrapping of a number of  $\text{Al}_2\text{O}_3$  nanoparticles by GNS that subsequently prohibit uniform grain coalescence and growth. The average values of grain aspect ratio were derived from measurement of the two sides of several elongated grains thereby obtaining the length-to-width ratio.

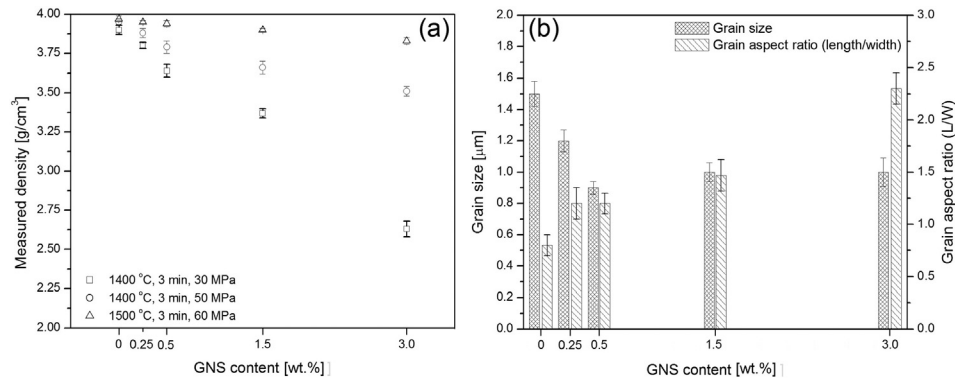
While there was no evidence of GNS accumulation, the SEM examination of the fractured nanocomposite surface revealed homogenous GNS dispersion inside ceramic matrix besides GNS bending (black arrows) and wrapping (black circles) around the  $\text{Al}_2\text{O}_3$  grains (Fig. 2b). In other words, the flexibility of GNS allowed them to bend along and fold around the growing  $\text{Al}_2\text{O}_3$  grains at elevated temperature and in the presence of high pressure eventually trapping them between adjacent grains. Repeated folding of the GNS increased the space between the matrix grains, thereby preventing them from sealing off the voids or porosity and leading to weakly-coalesced matrix grains. Therefore, nanocomposites sintered at  $1400^\circ\text{C}$  under 30 MPa showed incomplete densification, and became slightly better after the applied pressure was increased to 50 MPa. The research findings imply that external pressure is an important sintering parameter that accelerates the densification process during HFIHS by offering extra driving force for mass transportation and by eliminating the gaps/voids between matrix grains forcing particles to preferential positions with resultant consolidated densities close to the theoretical values.

### 3.3. Microstructure development and fractography

The effect of GNS addition into  $\text{Al}_2\text{O}_3$  matrix on grain size of the resulting HFIHS consolidated microstructure was investigated, as manifested by SEM images in Fig. 4. In case of monolithic  $\text{Al}_2\text{O}_3$ , HFIHS process yields a relatively finer microstructure with average size of  $1.5\ \mu\text{m}$  (Fig. 4a) than that obtained from hot-pressing [27]. As shown earlier (Fig. 2c), the GNS have a tendency to locate themselves at grain boundaries. While it is deleterious to the densification process on one hand, it



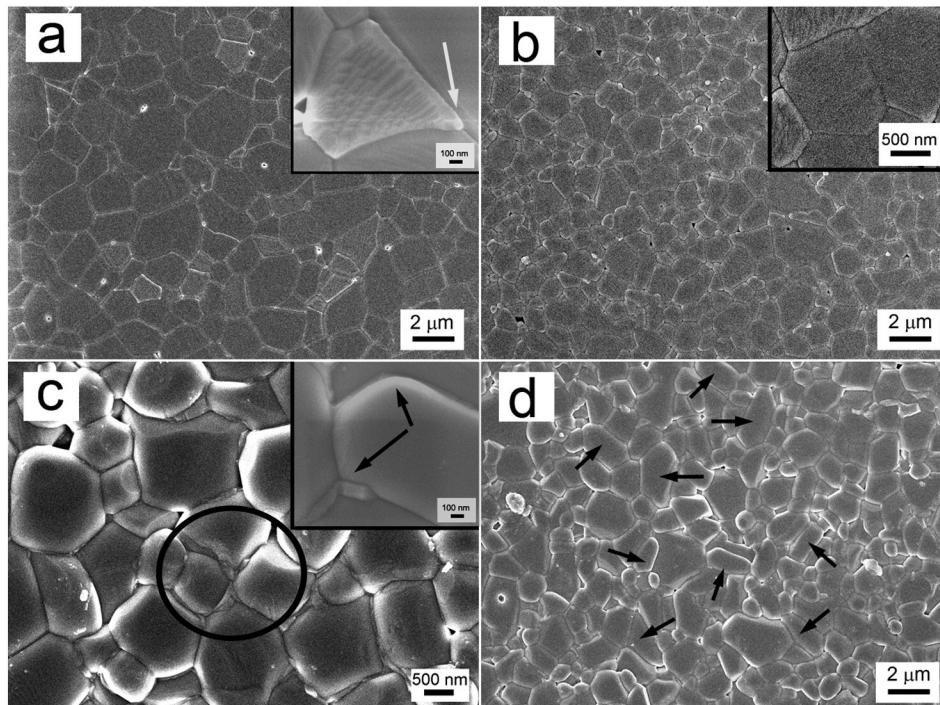
**Fig. 2.** (a) TEM microstructure of  $\text{Al}_2\text{O}_3$ /GNS powder mixture depicting distribution of  $\text{Al}_2\text{O}_3$  nanoparticles over GNS and (b-d) Scanning electron microscope images of fractured surfaces of the nanocomposite samples showing (b) homogenous GNS dispersion in the consolidated ceramic matrix, (c) instances of GNS sandwiched between two adjacent grains (black arrow) and (d) High-magnification SEM view illustrating GNS folding and bending and the resulting porosity in its vicinity.



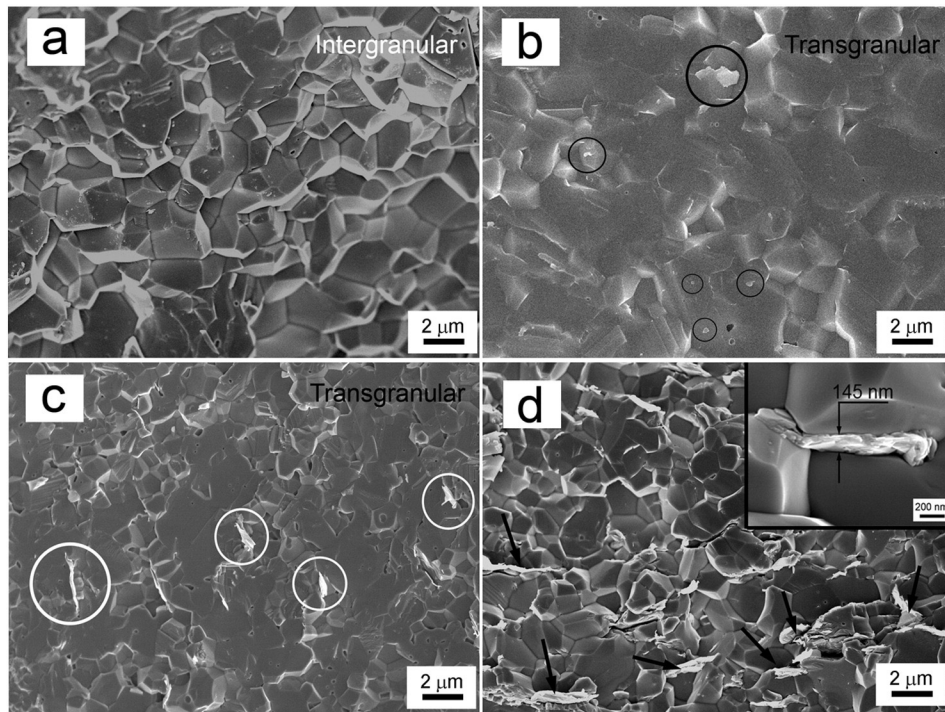
**Fig. 3.** Graphical representation of the effect of GNS loading level in the nanocomposite on (a) densification behavior of  $\text{Al}_2\text{O}_3/\text{GNS}$  nanocomposites for different HFIHS conditions and (b) average size and aspect ratio (L/W) of the matrix grains upon sintering at 1500 °C and 60 MPa for 3 min.

is nevertheless beneficial in obtaining fine-grained microstructures, as evident from the fact that GNS addition by 0.5 wt.% led to grain refinement by 46% (Fig. 3b). The surface microstructure of the  $\text{Al}_2\text{O}_3/0.5\text{GNS}$  sample affirms that GNS inhibited grain growth of the  $\text{Al}_2\text{O}_3$  matrix by wrapping around them, preferably positioning them at grain junctions to produce fine-grained microstructure (Fig. 4b). This finding is also corroborated by another recent report [26,27]. GNS content of 1.5 and 3 wt.% resulted in marginal further grain size refinement (Fig. 4c, d), albeit with evolution of blunt, round and elongated grain morphology instead of prismatic, acicular or needle-like grains strongly embedded into each other as observed in case of monolithic  $\text{Al}_2\text{O}_3$ . It is possible that interconnected GNS would have covered several matrix particles and subsequent high external pressure during sintering integrated them to form elongated morphology via creep. Further statistical analyses (Fig. 3b) of the grain length-to-width ratio (L/W) confirmed GNS contribution in altering the grain morphology, as 3 wt.% GNS in  $\text{Al}_2\text{O}_3$  produced elongated grains with L/W value of 2.1. In other words, more elongated grains formed in the microstructure of  $\text{Al}_2\text{O}_3/3.0\text{GNS}$  sample, as indicated by black arrows in Fig. 4d.

The fractured surfaces of the monolithic as well as nanocomposite  $\text{Al}_2\text{O}_3$  samples were examined to determine the characteristic fracture mode, as presented by SEM results in Fig. 5. As compared to the intergranular fracture mode seen in case of monolithic  $\text{Al}_2\text{O}_3$ , a notable transformation in the failure mechanism to transgranular fracture was noticed to occur upon up to 0.5 wt.% GNS addition (Fig. 5c). This change suggests that evenly dispersed GNSs firmly bond with  $\text{Al}_2\text{O}_3$  matrix grains offering support at grain boundaries for efficient stress transfer from one grain to the other. This phenomenon altered the failure propagation route to accrue through matrix grains (transgranular fracture). This is in contrast with weak grain boundary areas in the unreinforced  $\text{Al}_2\text{O}_3$  where fracture proceeds along the grains causing intergranular failure, as shown in Fig. 5(a).  $\text{Al}_2\text{O}_3/\text{GNS}$  nanocomposites containing GNS content of 1.5 or 3 wt.% contained folded and interconnected GNS at matrix grain boundaries (black circled in Fig. 4c and in the inset of Fig. 5d). It appears that these folded GNS behaved like weak/brittle bulk graphite. In the presence of applied load, the folded graphitic planes can readily slip along their basal planes without any dislocation movement. The cracks can, therefore, propagate with relative ease



**Fig. 4.** SEM microstructures of the HFIHS samples after fine Polish and thermal etching, with inset showing high magnification view: (a) monolithic  $\text{Al}_2\text{O}_3$  showing irregular-shaped grains, (b)  $\text{Al}_2\text{O}_3/0.5\text{GNS}$  sample surface presenting fine grain structure, (c, d)  $\text{Al}_2\text{O}_3/1.5\text{GNS}$  indicating GNS folding within matrix grains (black circle) with inset showing round/blunt grain morphology and (d) elongated grain morphology (black arrows).



**Fig. 5.** Fractography of the different ceramic samples indicating peculiar fracture modes: (a) intergranular fracture exhibited by monolithic  $\text{Al}_2\text{O}_3$ , (b) Transgranular fracture in the nanocomposite containing 0.25 wt.% GNS with short pull-out segments of the GNS (black circle), (c) Transgranular fracture mode evident in  $\text{Al}_2\text{O}_3/0.5\text{GNS}$  showing larger GNS pull-out segments (white circles), and (d)  $\text{Al}_2\text{O}_3/1.5\text{GNS}$  fracture surface indicating several folded GNS and the associated porosity that gave rise to intergranular failure. The inset shows a thicker GNS glut at the boundary of three grains.

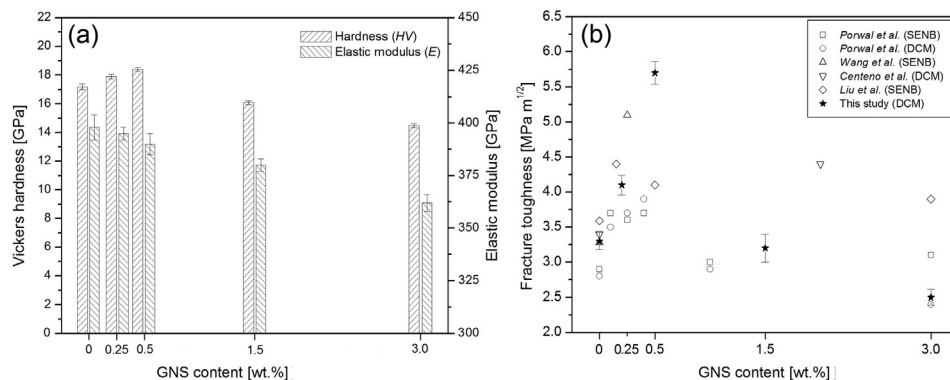
through these GNS existing along the grain boundaries, thus causing intergranular fracture mode to be dominant (Fig. 5d) [39,40].

#### 3.4. Influence of GNS on hardness and elastic modulus

As shown in Fig. 6a, the Vickers microhardness values maximized for up to 0.5 wt.% GNS addition followed by a drop for  $\text{Al}_2\text{O}_3/\text{GNS}$  nanocomposites containing 1.5 and 3.0 wt.% GNS presumably due to GNS bending, accumulation and rise in porosity level. On the other hand, a progressive decrease in the elastic modulus was noticed with continuous increase in the extent of GNS incorporation. The hardness of the ceramic materials is derived from the microstructure development in terms of size and morphology of the grains. Monolithic ceramics like  $\text{Al}_2\text{O}_3$  always offer high hardness due to elongated prismatic, acicular or needle-like grain shapes. The incorporation of GNS as a second phase reinforcing medium indeed refined the grain size through grain pinning phenomenon, yet it barely influenced the grain shapes during HFHS process (see Figs. 3b and 4b). It is a well-known fact that both

grain boundary area and reinforcing constituents hinder the onset of plasticity by impeding dislocation movement across the entire nanocomposite microstructure [34]. As compared to monolithic  $\text{Al}_2\text{O}_3$  samples made under identical conditions, a modest improvement by 4 and 7%, respectively, in the microhardness values was noticed for  $\text{Al}_2\text{O}_3/0.25\text{GNS}$  and  $\text{Al}_2\text{O}_3/0.5\text{GNS}$  nanocomposite samples.

Interestingly, about 6% and 15% reduction in hardness was noted in  $\text{Al}_2\text{O}_3/1.5\text{GNS}$  and  $\text{Al}_2\text{O}_3/3.0\text{GNS}$  samples, respectively. This drop in hardness with increased GNS addition may be attributed to the apparent changes in grain shape, intrinsic lubrication characteristics of graphene and residual porosity. It is obvious that round grain morphology appeared in these nanocomposites, Fig. 4d, which could facilitate deformation/sliding of the grains over each other, as rounded grains tend to move easily than faceted ones [41]. Adding on, the presence of residual porosity in the microstructure of nanocomposites may be another contributing factor in depriving the hardness values because the ceramics are sensitive to residual porosity according to a well-known empirical square root relationship ( $\sigma_F \propto l^{-0.5}$ ) which describes the



**Fig. 6.** Effect of GNS incorporation into  $\text{Al}_2\text{O}_3$  matrix on the mechanical properties of ceramic matrix composites: (a) Fracture toughness and (b) hardness and elastic modulus of the monolithic  $\text{Al}_2\text{O}_3$  as the function of GNS additions.

fracture strength ( $\sigma_F$ ) dependency on the pore size (c) [34]. This corroborates that the residual porosity would have facilitated the crack to initiate at far less load and led nanocomposites, containing higher GNS content, to lower hardness [34]. Furthermore, a gradual drop in the elastic modulus of the nanocomposite samples with greater GNS content (1.5 and 3.0 wt.%) was also observed as represented in Fig. 6a. In this context, Fan et al. suggested that the grain size barley influenced the elastic modulus however, porosity formation around the GNS (Fig. 2) and low modulus of the large GNS along both in-plane and out-of-plan directions are likely to be the foremost reasons behind lower elastic modulus values in these samples [37,44].

### 3.5. Toughness and reinforcement mechanism

Using DCM technique,  $K_{IC}$  values for monolithic  $Al_2O_3$  and  $Al_2O_3$ /GNS nanocomposites were determined and compared with other published values, as shown by bar chart in Fig. 6b. While monolithic, unreinforced  $Al_2O_3$  sample yielded a  $K_{IC}$  value of  $3.3 \text{ MPa} \cdot \text{m}^{1/2}$ , incorporation of 0.25 and 0.50 wt.% GNS resulted in respective  $K_{IC}$  values of 4.1 and  $5.7 \text{ MPa} \cdot \text{m}^{1/2}$ , an increase by 25 and 72%, respectively. Some reports maintain that the DCM method only provides localized  $K_{IC}$  information for test specimen under complex stress field, instead of an absolute  $K_{IC}$  value such as that obtained via the standard single-edged notched beam (SENB) method [34]. In this context, several groups strived to curtail this ambiguity by comparing the  $K_{IC}$  values obtained from both methods. In case of CNT-reinforced  $Al_2O_3$ , Sarkar et al. supported the former technique, whilst Ahmad et al. favored the latter [11,14]. The debate has recently been revived by Porwal et al. [26] who reported negligible discrepancy in the  $K_{IC}$  values obtained from both method for  $Al_2O_3$  matrix composites containing low (< 2 vol.%) graphene content, although GNS content greater than this limiting value still led to uncertainties. Beside this, the DCM method offers expediency in the  $K_{IC}$  estimation and was, thereby, opted in this work for gauging the betterment against monolithic samples. A gradual increase in the  $K_{IC}$  values was seen up to in 0.25 and 0.5 wt.% GNS loading whereas further additions resulted in low  $K_{IC}$  values, as shown in Fig. 6b. The fact that several protruded GNS were noticed on the fractured nanocomposite surface (black arrows in Fig. 2b) beside the perfectly embedded GNS within the matrix grains (black arrow in Fig. 2c) implies that the toughening philosophies derived from the fiber-reinforced ceramic composites can also be applicable here, although the GNS pull-out mechanism in the nanocomposites may in essence be more complicated [25,26]. Fig. 2b shows that the GNS are securely wrapped/rolled around the  $Al_2O_3$  grains (black circles), and this uniquely massive interaction allows them to connect with several grains and firmly fasten them together in an intricate manner, leading to the anchoring mechanism. Similar interactions were also identified during our TEM study of the nanocomposites (Fig. 7a). This promising and complex grain anchoring is expected to provide higher interfacial resistance against GNS pull-out from the matrix, thus more energy will be required than that in case of classical pull-out, crack-bridging and crack-deflection toughening mechanisms in nanocomposites [27]. The phenomena of crack deflection and crack bridging by embedded GNS as experienced in the course of crack propagation is highlighted in Fig. 7b.

Further examinations of the fractured nanocomposite surfaces revealed more exciting roles of GNS, via direct and indirect contribution towards toughness enhancement of the nanocomposite. The  $Al_2O_3$ /0.25GNS sample exhibited several sheet-like features in the structure, as pointed out by black circles in Fig. 5b. Fig. 8a presents high magnification view of small pull-out segments along with ruptured edges with an estimated thickness of ~7–13 nm which corroborates the efficiency of colloidal chemistry route in suppressing the chances of GNS agglomeration in the nanocomposites. The short pull-out segments also confirmed the resistance for GNS to leave the matrix, implying potentially concurrent actions of GNS anchoring at grain boundaries and strong  $Al_2O_3$ /GNS interfacial adhesion, which will be discussed later.

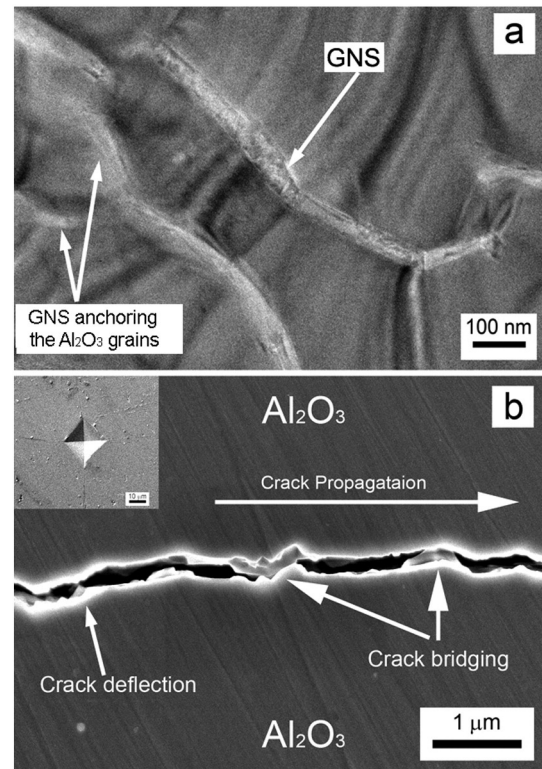
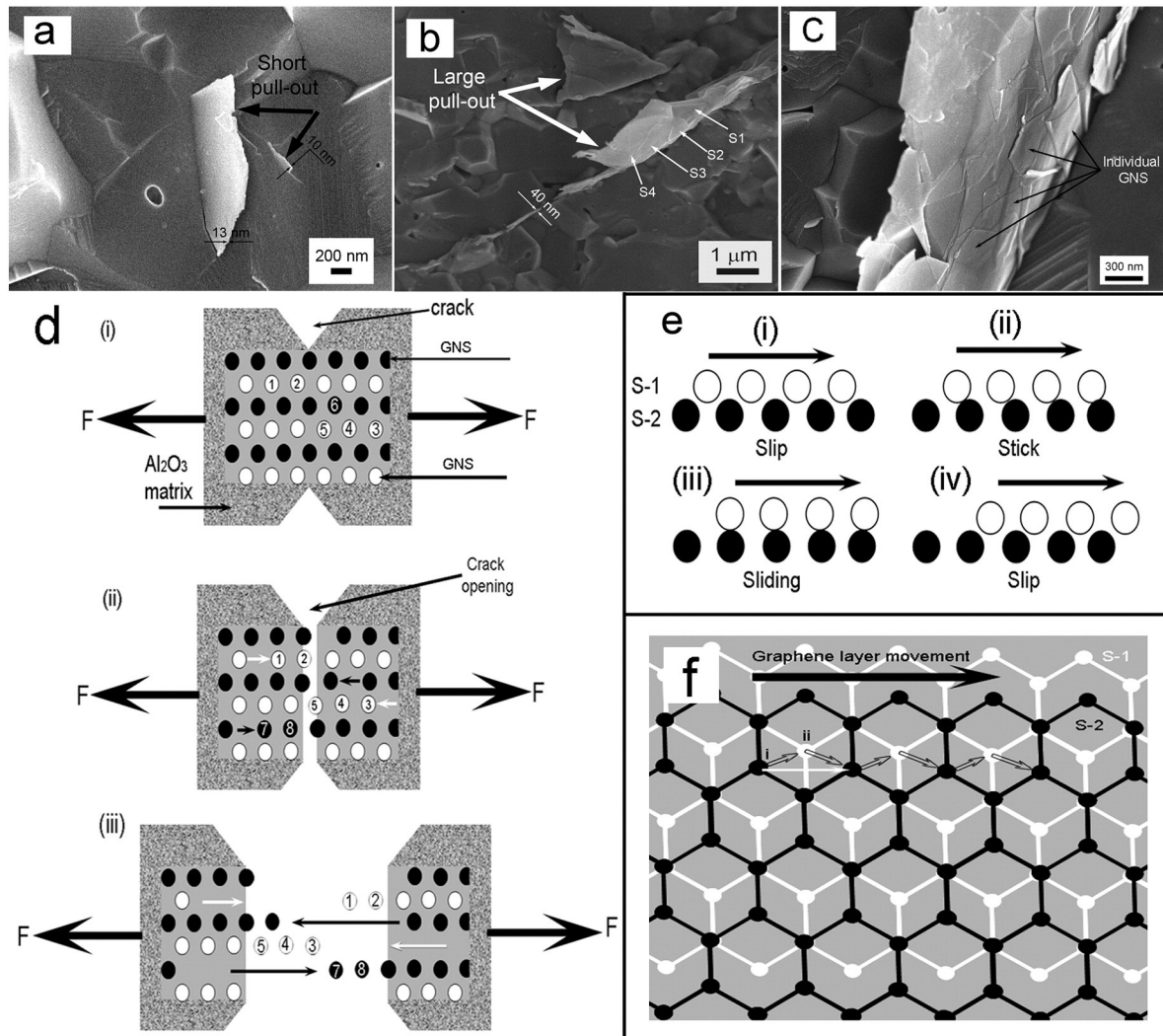


Fig. 7. (a) TEM images representing GNS anchoring interaction with the base matrix grains and (b) SEM image shows GNS bridging the cracked surfaces, Vickers hardness indent in inset.

The firm sticking of GNS to the  $Al_2O_3$  matrix appears to have strengthened the grain boundary area indirectly, causing the fracture to accrue through the  $Al_2O_3$  grains rather than along grain boundaries thus leading to the transgranular failure. Furthermore, the slender edges of the protruded GNS exhibited extremely limited number of graphene layers, whose important role towards greater friction resistance has been theoretically predicted in literature [42]. Presumably, the firm  $Al_2O_3$ /GNS interfacial connection held both ends of the GNS prior to the detachment, and then the anchoring mechanism supported the GNS against being pull-out. The combination of these actions allowed the GNS to stretch to a substantial extent during crack opening stage. Depending on its elasticity, an extensibility of 20% strain has been reported for graphene [42,43]. This analysis highlights the direct role of GNS towards arresting the crack progression and stress dissipation through crack-bridging toughening mechanism (Fig. 7b). Therefore, the nanocomposite reinforced with only 0.25 wt.% GNS reached a notable  $K_{IC}$  value of  $4.1 \text{ MPa} \cdot \text{m}^{1/2}$ , which is 25% higher than that of monolithic  $Al_2O_3$  ( $K_{IC} = 3.3 \text{ MPa} \cdot \text{m}^{1/2}$ ).

The  $Al_2O_3$ /0.5GNS nanocomposite sample exhibited relatively larger sized GNS segments (Fig. 5c) after being pulled out from the matrix. A close observation further revealed the discrete multi-layered structure for the GNS with edge thickness of 20–40 nm, as determined from cross-section shown in Fig. 8b. The appearance of these multi-layered GNS structures in the fractured surface (Fig. 8c) thus implies a two-stage toughening mechanism. The toughening imparted by the GNS as it confronted a propagating crack is schematically illustrated in Fig. 8d. As the outermost GNS layers are firmly adhered with the  $Al_2O_3$  matrix grains, it is anticipated that during the initial stage, the flexible GNS will sustain the applied stress (Fig. 8d-i) until the elastic limit is exceeded. At that point, either it is detachment from the matrix or is ruptured at high loads (Fig. 8d-ii), depending on C–C bond strength in GNS and the  $Al_2O_3$ /GNS interfacial bond strength whichever is less. In the former case, the load-bearing characteristics of the GNS play an essential role as the applied stress is transferred to inner graphene layers



**Fig. 8.** (a–c) High magnification SEM view of fractured surfaces for different  $\text{Al}_2\text{O}_3/\text{GNS}$  nanocomposite specimens: (a)  $\text{Al}_2\text{O}_3/0.25\text{GNS}$  showing short GNS pull-out segment and its adhesion with  $\text{Al}_2\text{O}_3$  matrix, (b)  $\text{Al}_2\text{O}_3/0.5\text{GNS}$  with relatively larger sized GNS pull-out areas exhibiting discrete graphene layers (small white arrows), (c) a multi-layered graphene structure of a pulled out GNS; (d) A schematic model illustrating GNS pull-out toughening mechanism and slip–stick phenomenon in adjacent GNS layers, (e, f) size and top view of the top lattice atoms undergoing slip/stick motion under the influence of applied force.

that are not directly adhered to the ceramic matrix which in turn, can travel long distances via slippage prior to detachment (Fig. 8d–iii) [44–45]. Such delay in layer detachment occurs probably due to slow slithering of layers leading to slip–stick effect (a sudden slip after a period of sticking to a certain position of the surface) and offers complicated inter-layer friction forces as predicted in atomistic simulations [46–48]. Fig. 8e is a schematic representation of a three step slip–stick phenomenon that is likely to have occurred during GNS layers movements in the nanocomposite. Let us denote the top GNS layer (white circles) as S-1 while that slithers over a fixed bottom GNS layer called S-2 (black circles). During the first stage (Fig. 8e-i), the carbon atoms making up the lattice of the S-1 layer slip in the lattice fringe gaps of the underlying S-2 layer with little effort. After slip to a certain distance, however, the S-1 atoms get stuck within the interstitial spacing of the S-2 lattice atoms leading to sticking phenomenon (Fig. 8e-ii) involving chemical bond formation. To overcome sticking forces and to continue further sliding, relatively larger force will be required during the third step (Fig. 8e-iii). The overall atomic movement from such complex nature of stick–slip mechanism will, therefore, be in a zigzag direction (hollow arrows in Fig. 8f), in accordance with the prediction made with the help of atomistic simulation [46]. Such non-identical slip systems, therefore, made it much more energy intensive for the adjacent GNS layers to slide over each other. Moreover, the slip–stick mechanism

spreads over a wide area of the GNS surface which leads to formation of extra sliding interfaces during inter-layer movement, hence leading to enhanced lattice atom resistance against sliding and superior friction properties at nanoscale [48,49]. In this way, the larger area of the pulled out GNS seems to inhibit crack propagation, as greater amount of energy will be needed to overcome these friction forces, thus improving the GNS efficiency towards crack-bridging and other toughening mechanisms in the nanocomposite. This is demonstrated by fracture toughness enhancement by means of  $K_{IC}$  value from 3.3 (for monolithic  $\text{Al}_2\text{O}_3$ ) to 5.7  $\text{MPa}\cdot\text{m}^{1/2}$ , an increase by 72%.

The reason behind the  $K_{IC}$  value drop in nanocomposites loaded with higher GNS content ( $>1.5$  wt.%) is most probably the GNS aggregates, as visible in polished (Fig. 4c) and fractured (Fig. 5b) samples and the cross-section of a GNS glut was appeared around 150 nm. Close inspection of a fractograph (Fig. 2d) revealed porous like features at critical locations of GNS– $\text{Al}_2\text{O}_3$  junctions depicting almost no adhesion to each other. These microstructural defects obviously would favor the cracks to initiation and to propagate along the grain boundaries, as these aggregates barely contribute in grain boundary strengthening/toughening mechanisms, and resulted in mechanically poor nanocomposites, as represented in Fig. 6. We also compare our  $K_{IC}$  results (Fig. 6b) with existing studies in this context and better results in our case are possibly due to using new composite powder mixing methodology and rapid



sintering technology [15,24–26]. Prolonged reaction time was introduced, in this study, which allowed surfactant to thoroughly adsorb on the GNS which led it to disperse well within the  $\text{Al}_2\text{O}_3$  matrix whereas others merely relied only on either surfactant type or mechanical mixing techniques [22–27]. Furthermore, the fast pressure-assisted HFHS technology, adopted in this study, works on merging the principles of the SPS (creep and related mechanism) and HP (material diffusion mechanism) processes thereby offered collective advantages of (i) nanocomposite constituents integration down to atomic level for higher densification and (ii) protection of the nanoscale features morphology and structure of the reinforcements in the final nanocomposites to perform proposed toughening mechanism [15,39] thus tough  $\text{Al}_2\text{O}_3/\text{GNS}$  nanocomposites could be produced.

### 3.6. Interface structures

The  $\text{Al}_2\text{O}_3/\text{GNS}$  interfacial area at high magnification is presented in Fig. 9 in which both GNS and  $\text{Al}_2\text{O}_3$  phases can be distinguished by their respective lattice fringe spacing of 0.34 and 0.26 nm that correspond to (002) planes in graphene and (104) planes in  $\text{Al}_2\text{O}_3$ , respectively. The  $\text{Al}_2\text{O}_3/\text{GNS}$  interfacial area seems very well bonded (white arrow in Fig. 9a), however, any intermediate second phase could hardly be discriminated. Analysis of the XRD pattern (Fig. 9b) showed characteristic  $\text{Al}_2\text{O}_3$  crystalline peaks (JCPDS No. 01-078-2426) for sintered monolithic  $\text{Al}_2\text{O}_3$ , whereas a new peak was identified at  $26.3^\circ$  for nanocomposite samples corresponding to crystalline graphite (JCPDS No. 01-075-1621). No other second phase peaks were detected, implying that there has not been any significant chemical reaction between GNS and the  $\text{Al}_2\text{O}_3$  matrix. We have earlier reported formation of an intermediate thin phase ( $\text{Al}_2\text{O}_3\text{C}$ ) at the  $\text{Al}_2\text{O}_3/\text{CNT}$  interface for nanocomposites fabricated using hot-pressing [11]. In this context, both CNT and GNS are originated from graphitic structure, and they should behave in a similar manner in terms of chemical activity. Perhaps provision of long hours of

reaction spells during hot-pressing or pressure-free sintering allows sufficient time for the  $\text{Al}_2\text{O}_3/\text{CNT}$  interface to form an intermediate phase through slow material diffusion mechanism [12,14]; whereas the HFHS process works on fast sintering leaving the materials very little or almost no time to diffuse [29–31]. Furthermore, the well-bonded GNS with  $\text{Al}_2\text{O}_3$  is clearly visible in the HR-TEM image in Fig. 9a, and these obvious atomic scale features are similar to those reported for the  $\text{CNT}/\text{Al}_2\text{O}_3$  nanocomposites [11,12,14]. This means that the rapid HFHS process has most likely contained the possible chemical reactions within only a few atomic layers in our nanocomposites, thus no second phase at the  $\text{GNS}-\text{Al}_2\text{O}_3$  interface was detectable in XRD data. Further advanced microscopic research is warranted to acquire deeper understanding in this intriguing area of nanocomposite technology.

### 4. Conclusions

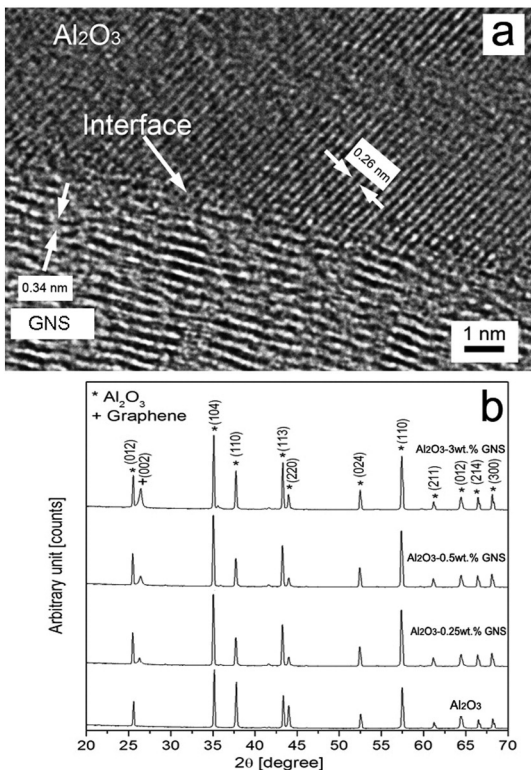
$\text{Al}_2\text{O}_3/\text{GNS}$  nanoceramics incorporating 0 to 3.0 weight percent thermally exfoliated graphene nanosheets can be produced through homogenous GNS mixing with  $\text{Al}_2\text{O}_3$  nanoparticles via an aqueous colloidal chemistry route and subsequently consolidation using a pressure-assisted HFHS technique. Processing conditions namely  $1500^\circ\text{C}$  sintering temperature, 60 MPa pressure and 3 min holding time, yields samples with near-theoretical densities ( $>99\%$ ). For up to 0.5 wt.% GNS addition, grain size refinement occurred with average size from  $1.5\ \mu\text{m}$  (for monolithic  $\text{Al}_2\text{O}_3$ ) to  $0.8\ \mu\text{m}$  due to grain boundary locking and grain wrapping phenomena by GNS. The effect on microhardness is not much pronounced, rather a drop in microhardness values observed for higher GNS loading levels of 1.5 and 3 wt.%. Reinforcement of the  $\text{Al}_2\text{O}_3$  matrix with GNS influences its failure behavior by converted from intergranular to the transgranular mode of fracture for up to in the 0.50 wt.% GNS in the nanocomposites with an associated improvement in fracture toughness value by 72%. Owing to their two-dimensional geometry, GNS effectively cover a large area of microstructure through grain anchoring to improve the  $\text{Al}_2\text{O}_3/\text{GNS}$  interfacial strength besides promoting crack bridging and crack deflection toughening mechanisms. A theoretical model outlining stick-slip effect arising from GNS elasticity and its complicated inter-layer friction is proposed to account for longer GNS pull-out segments and subsequently superior fracture toughness in such nanocomposites. The firm adhesion between the  $\text{Al}_2\text{O}_3$  matrix and GNS without formation of any distinct secondary interfacial phase is attributed to the fast HFHS sintering technique.  $\text{Al}_2\text{O}_3/\text{GNS}$  nanocomposites containing relatively higher loading levels of 1.5 and 3 wt.% exhibit deterioration in hardness and fracture toughness due to GNS folding, increased degree of mutual interactions between graphene different nanosheets and more grain boundary porosity.

### Acknowledgments

The authors would like to extend their sincere appreciation to the Deanship of Scientific Research at King Saud University for its funding of this research through the Research Group Project no. RGP-VPP-283.

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**Fig. 9.** (a) HR-TEM microstructure of the  $\text{Al}_2\text{O}_3/\text{GNS}$  interface showing firm attachment between  $\text{Al}_2\text{O}_3$  matrix and GNS without any intermediate secondary phase formation and (b) XRD patterns of the monolithic  $\text{Al}_2\text{O}_3$  and various  $\text{Al}_2\text{O}_3/\text{GNS}$  nanocomposites after HFHS process.

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