

## 4.5 Dimension

# Number of Vectors in a Basis

## THEOREM:

All bases for a finite-dimensional vector space have the same number of vectors.

## **THEOREM:**

Let  $V$  be an  $n$ -dimensional vector space, and let  $\{v_1, v_2, \dots, v_n\}$  be any basis.

(a) If a set in  $V$  has more than  $n$  vectors, then it is linearly dependent.

(b) If a set in  $V$  has fewer than  $n$  vectors, then it does not span  $V$ .

## Definition:

The **dimension** of a finite-dimensional vector space  $V$  is denoted by  $\dim(V)$  and is defined to be the number of vectors in a basis for  $V$ . In addition, the zero vector space is defined to have dimension zero.

## EXAMPLE 1

$$\dim(\mathbb{R}^n) = n$$

$$\dim(P_n) = n + 1$$

$$\dim(M_{mn}) = mn$$

[The standard basis has  $n$  vectors. ]

[The standard basis has  $n + 1$  vectors. ]

[The standard basis has  $mn$  vectors. ]

## EXAMPLE 2

If  $S = \{v_1, v_2, \dots, v_r\}$  then every vector in  $\text{span}(S)$  is expressible as a linear combination of the vectors in  $S$ . Thus, if the vectors in  $S$  are linearly independent, they automatically form a basis for  $\text{span}(S)$ , from which we can conclude that

$$\dim[\text{span}\{v_1, v_2, \dots, v_r\}] = r$$

### **EXAMPLE 3**

Find a basis for and the dimension of the solution space of the homogeneous system

$$\begin{aligned}x_1 + 3x_2 - 2x_3 + 2x_5 &= 0 \\2x_1 + 6x_2 - 5x_3 - 2x_4 + 4x_5 - 3x_6 &= 0 \\5x_3 + 10x_4 + 15x_6 &= 0 \\2x_1 + 6x_2 + 8x_4 + 4x_5 + 18x_6 &= 0\end{aligned}$$

# Some Fundamental Theorems

## THEOREM: Plus/Minus Theorem

Let  $S$  be a nonempty set of vectors in a vector space  $V$ .

(a) If  $S$  is a linearly independent set, and if  $\mathbf{v}$  is a vector in  $V$  that is outside of  $\text{span}(S)$ , then the set  $S \cup \{\mathbf{v}\}$  that results by inserting  $\mathbf{v}$  into  $S$  is still linearly independent.

(b) If  $\mathbf{v}$  is a vector in  $S$  that is expressible as a linear combination of other vectors in  $S$ , and if  $S - \{\mathbf{v}\}$  denotes the set obtained by removing  $\mathbf{v}$  from  $S$ , then  $S$  and  $S - \{\mathbf{v}\}$  span the same space; that is,

$$\text{span}(S) = \text{span}(S - \{\mathbf{v}\})$$

## **EXAMPLE 5**

Show that  $\mathbf{p}_1 = 1 - x^2$ ,  $\mathbf{p}_2 = 2 - x^2$ , and  $\mathbf{p}_3 = x^3$  are linearly independent vectors.



## **THEOREM:**

Let  $V$  be an  $n$ -dimensional vector space, and let  $S$  be a set in  $V$  with exactly  $n$  vectors. Then  $S$  is a basis for  $V$  if and only if  $S$  spans  $V$  or  $S$  is linearly independent.

## THEOREM:

Let  $S$  be a finite set of vectors in a **finite-dimensional** vector space  $V$ .

- (a) If  $S$  spans  $V$  but is not a basis for  $V$ , then  $S$  can be reduced to a basis for  $V$  by removing appropriate vectors from  $S$ .
- (b) If  $S$  is a linearly independent set that is not already a basis for  $V$ , then  $S$  can be enlarged to a basis for  $V$  by inserting appropriate vectors into  $S$ .

## **THEOREM:**

If  $W$  is a subspace of a finite-dimensional vector space  $V$ , then:

- (a)  $W$  is finite-dimensional.
- (b)  $\dim(W) \leq \dim(V)$ .
- (c)  $W = V$  if and only if  $\dim(W) = \dim(V)$ .

## Exercise Set 4.5

► In Exercises 1–6, find a basis for the solution space of the homogeneous linear system, and find the dimension of that space. ◀

$$\begin{aligned} 1. \quad & x_1 + x_2 - x_3 = 0 \\ & -2x_1 - x_2 + 2x_3 = 0 \\ & -x_1 + x_3 = 0 \end{aligned}$$

$$\begin{aligned} 2. \quad & 3x_1 + x_2 + x_3 + x_4 = 0 \\ & 5x_1 - x_2 + x_3 - x_4 = 0 \end{aligned}$$

$$\begin{aligned} 3. \quad & 2x_1 + x_2 + 3x_3 = 0 \\ & x_1 + 5x_3 = 0 \\ & x_2 + x_3 = 0 \end{aligned}$$

$$\begin{aligned} 4. \quad & x_1 - 4x_2 + 3x_3 - x_4 = 0 \\ & 2x_1 - 8x_2 + 6x_3 - 2x_4 = 0 \end{aligned}$$

$$\begin{aligned} 5. \quad & x_1 - 3x_2 + x_3 = 0 \\ & 2x_1 - 6x_2 + 2x_3 = 0 \\ & 3x_1 - 9x_2 + 3x_3 = 0 \end{aligned}$$

$$\begin{aligned} 6. \quad & x + y + z = 0 \\ & 3x + 2y - 2z = 0 \\ & 4x + 3y - z = 0 \\ & 6x + 5y + z = 0 \end{aligned}$$

7. In each part, find a basis for the given subspace of  $\mathbb{R}^3$ , and state its dimension.

(a) The plane  $3x - 2y + 5z = 0$ .

(b) The plane  $x - y = 0$ .

(c) The line  $x = 2t, y = -t, z = 4t$ .

(d) All vectors of the form  $(a, b, c)$ , where  $b = a + c$ .

8. In each part, find a basis for the given subspace of  $\mathbb{R}^4$ , and state its dimension.

(a) All vectors of the form  $(a, b, c, 0)$ .

(b) All vectors of the form  $(a, b, c, d)$ , where  $d = a + b$  and  $c = a - b$ .

(c) All vectors of the form  $(a, b, c, d)$ , where  $a = b = c = d$ .

9. Find the dimension of each of the following vector spaces.

(a) The vector space of all diagonal  $n \times n$  matrices.

(b) The vector space of all symmetric  $n \times n$  matrices.

(c) The vector space of all upper triangular  $n \times n$  matrices.

10. Find the dimension of the subspace of  $P_3$  consisting of all polynomials  $a_0 + a_1x + a_2x^2 + a_3x^3$  for which  $a_0 = 0$ .

11. (a) Show that the set  $W$  of all polynomials in  $P_2$  such that  $p(1) = 0$  is a subspace of  $P_2$ .

(b) Make a conjecture about the dimension of  $W$ .

(c) Confirm your conjecture by finding a basis for  $W$ .

12. Find a standard basis vector for  $\mathbb{R}^3$  that can be added to the set  $\{\mathbf{v}_1, \mathbf{v}_2\}$  to produce a basis for  $\mathbb{R}^3$ .

(a)  $\mathbf{v}_1 = (-1, 2, 3), \mathbf{v}_2 = (1, -2, -2)$

(b)  $\mathbf{v}_1 = (1, -1, 0), \mathbf{v}_2 = (3, 1, -2)$

13. Find standard basis vectors for  $\mathbb{R}^4$  that can be added to the set  $\{\mathbf{v}_1, \mathbf{v}_2\}$  to produce a basis for  $\mathbb{R}^4$ .

$$\mathbf{v}_1 = (1, -4, 2, -3), \quad \mathbf{v}_2 = (-3, 8, -4, 6)$$

14. Let  $\{\mathbf{v}_1, \mathbf{v}_2, \mathbf{v}_3\}$  be a basis for a vector space  $V$ . Show that  $\{\mathbf{u}_1, \mathbf{u}_2, \mathbf{u}_3\}$  is also a basis, where  $\mathbf{u}_1 = \mathbf{v}_1$ ,  $\mathbf{u}_2 = \mathbf{v}_1 + \mathbf{v}_2$ , and  $\mathbf{u}_3 = \mathbf{v}_1 + \mathbf{v}_2 + \mathbf{v}_3$ .

15. The vectors  $\mathbf{v}_1 = (1, -2, 3)$  and  $\mathbf{v}_2 = (0, 5, -3)$  are linearly independent. Enlarge  $\{\mathbf{v}_1, \mathbf{v}_2\}$  to a basis for  $\mathbb{R}^3$ .

16. The vectors  $\mathbf{v}_1 = (1, 0, 0, 0)$  and  $\mathbf{v}_2 = (1, 1, 0, 0)$  are linearly independent. Enlarge  $\{\mathbf{v}_1, \mathbf{v}_2\}$  to a basis for  $\mathbb{R}^4$ .

17. Find a basis for the subspace of  $\mathbb{R}^3$  that is spanned by the vectors

$$\mathbf{v}_1 = (1, 0, 0), \quad \mathbf{v}_2 = (1, 0, 1), \quad \mathbf{v}_3 = (2, 0, 1), \quad \mathbf{v}_4 = (0, 0, -1)$$

18. Find a basis for the subspace of  $\mathbb{R}^4$  that is spanned by the vectors

$$\mathbf{v}_1 = (1, 1, 1, 1), \quad \mathbf{v}_2 = (2, 2, 2, 0), \quad \mathbf{v}_3 = (0, 0, 0, 3), \\ \mathbf{v}_4 = (3, 3, 3, 4)$$

19. In each part, let  $T_A: \mathbb{R}^3 \rightarrow \mathbb{R}^3$  be multiplication by  $A$  and find the dimension of the subspace of  $\mathbb{R}^3$  consisting of all vectors  $\mathbf{x}$  for which  $T_A(\mathbf{x}) = \mathbf{0}$ .

$$(a) \quad A = \begin{bmatrix} 1 & 1 & 0 \\ 1 & 0 & 1 \\ 1 & 0 & 1 \end{bmatrix} \qquad (b) \quad A = \begin{bmatrix} 1 & 2 & 0 \\ 1 & 2 & 0 \\ 1 & 2 & 0 \end{bmatrix}$$

$$(c) \quad A = \begin{bmatrix} 1 & 0 & 0 \\ -1 & 1 & 0 \\ 1 & 1 & 1 \end{bmatrix}$$

20. In each part, let  $T_A$  be multiplication by  $A$  and find the dimension of the subspace  $\mathbb{R}^4$  consisting of all vectors  $\mathbf{x}$  for which  $T_A(\mathbf{x}) = \mathbf{0}$ .

$$(a) \quad A = \begin{bmatrix} 1 & 0 & 2 & -1 \\ -1 & 4 & 0 & 0 \end{bmatrix} \qquad (b) \quad A = \begin{bmatrix} 0 & 0 & 1 & 1 \\ -1 & 1 & 0 & 0 \\ 1 & 0 & 0 & 1 \end{bmatrix}$$

## Working with Proofs

21. (a) Prove that for every positive integer  $n$ , one can find  $n + 1$  linearly independent vectors in  $F(-\infty, \infty)$ . [Hint: Look for polynomials.]

(b) Use the result in part (a) to prove that  $F(-\infty, \infty)$  is infinite-dimensional.

(c) Prove that  $C(-\infty, \infty)$ ,  $C^m(-\infty, \infty)$ , and  $C^\infty(-\infty, \infty)$  are infinite-dimensional.

22. Let  $S$  be a basis for an  $n$ -dimensional vector space  $V$ . Prove that if  $\mathbf{v}_1, \mathbf{v}_2, \dots, \mathbf{v}_r$  form a linearly independent set of vectors in  $V$ , then the coordinate vectors  $(\mathbf{v}_1)_S, (\mathbf{v}_2)_S, \dots, (\mathbf{v}_r)_S$  form a linearly independent set in  $\mathbb{R}^n$ , and conversely.