Chapter 6

Ultimate Bearing Capacity of Shallow Foundations

Ultimate Bearing Capacity of Shallow Foundations

To perform satisfactorily, shallow foundations must have two main characteristics:

- 1. They have to be safe against overall shear failure in the soil that supports them.
- 2. They cannot undergo excessive displacement, or excessive settlement.

The term **excessive** is relative, because the degree of settlement allowed for a structure depends on several considerations.

TYPES OF SHEAR FAILURE

Types of Shear Failure

Shear Failure: Also called "Bearing capacity failure" and it's occur when the shear stresses in the soil exceed the shear strength of the soil.

There are three types of shear failure in the soil:

- a) General Shear Failure
- b) Local Shear Failure
- c) Punching Shear Failure



GENERAL SHEAR FAILURE

The following are some characteristics of general shear failure:

- Occurs over dense sand or stiff cohesive soil.
- □ Involves total rupture of the underlying soil.
- There is a continuous shear failure of the soil from below the footing to the ground surface (solid lines in the figure).
- When the (load / unit area) plotted versus settlement of the footing, there is a distinct load at which the foundation fails (Q_u)
- The value of (Q_u) divided by the area of the footing is considered to be the ultimate bearing capacity of the footing(q_u).
- For general shear failure, the ultimate bearing capacity has been defined as the bearing stress that causes a sudden catastrophic failure of the foundation.
- As shown in the figure, a general shear failure ruptures occur and pushed up the soil on both sides of the footing (In laboratory).





GENERAL SHEAR FAILURE

For actual failures on the field, the soil is often pushed up on **only one side** of the footing with **subsequent tilting** of the structure as shown in figure below:



LOCAL SHEAR FAILURE

The following are some characteristics of local shear failure:

- Occurs over sand or clayey soil of medium compaction.
- Involves rupture of the soil only immediately below the footing.
- There is soil bulging on both sides of the footing, but the bulging is not as significant as in general shear. That's because the underlying soil compacted less than the soil in general shear.
- The failure surface of the soil will gradually (not sudden) extend outward from the foundation (not the ground surface) as shown by solid lines in the figure.
- So, local shear failure can be considered as a transitional phase between general shear and punching shear.



LOCAL SHEAR FAILURE

- Because of the transitional nature of local shear failure, the ultimate bearing capacity could be defined as the firs failure load (q_u,1) which occur at the point which have the first measure nonlinearity in the load/unit area-settlement curve (open circle), or at the point where the settlement starts rabidly increase (q_u) (closed circle).
- □ This value of (q_u) is the required (load/unit area) to extends the failure surface to the ground surface (dashed lines in the figure).
- □ In this type of failure, the value of (q_u) is not the peak value so, this failure called (Local Shear Failure).
- □ The actual local shear failure in field is proceed as shown in the figure below:



PUNSHING SHEAR FAILURE

The following are some characteristics of punching shear failure:

- □ Occurs over fairly loose soil.
- Punching shear failure does not develop the distinct shear surfaces associated with a general shear failure.
- The soil outside the loaded area remains relatively uninvolved and there is a minimal movement of soil on both sides of the footing.
- The process of deformation of the footing involves compression of the soil directly below the footing as well as the vertical shearing of soil around the footing perimeter.
- As shown in figure, the (q)-settlement curve does not have a dramatic break and the bearing capacity is often defined as the first measure nonlinearity in the (q)-settlement curve(q_u,1).



PUNSHING SHEAR FAILURE

- Beyond the ultimate failure (load/unit area) (q_u,1), the (load/unit area)settlement curve will be steep and practically linear.
- The actual punching shear failure in field is proceed as shown in the figure below:



Modes of Foundation Failure in Sand



TERZAGHI'S BEARING CAPACITY THEORY

Terzaghi was the first to present a comprehensive theory for evaluation of the ultimate bearing capacity of rough shallow foundation. This theory is based on the following assumptions:

1. The foundation is considered to be shallow if $(D_f \le B)$.

2. The foundation is considered to be strip or continuous if (B/L \rightarrow 0.0). (Width to length ratio is very small and goes to zero), and the derivation of the equation is to a strip footing.

3. The effect of soil above the bottom of the foundation may be assumed to be replaced by an equivalent surcharge $(q=\gamma D_f)$. So, the shearing resistance of this soil along the failure surfaces is neglected (Lines GI and HJ in the figure)

4. The failure surface of the soil is similar to general shear failure (i.e. equation is derived for general shear failure) as shown in the figure.

Note:

1. In recent studies, investigators have suggested that, foundations are considered to be shallow if [$D_f \le (3 \rightarrow 4)B$], otherwise, the foundation is deep. 2. Always the value of (q) is the effective stress at the bottom of the foundation.

TERZAGHI'S BEARING CAPACITY THEORY





The failure zone under the foundation can be separated into three parts:

- **1.** The triangular zone ACD immediately under the foundation
- 2. The radial shear zones ADF and CDE with the curves DE and DF being arcs of a logarithmic spiral
- 3. Two triangular Rankine passive zones AFH and CEG

The equation was derived for a strip footing and general shear failure:

 $q_u = c'N_c + qN_q + 0.5\gamma BN_{\gamma}$

(for continuous or strip footing)

Where

q_u=Ultimate bearing capacity of the soil (KN/m²)

c'=Cohesion of soil (KN/m²)

q = Effective stress at the bottom of the foundation (KN/m²)

 N_c , N_q , N_{γ} = Bearing capacity factors (non-dimensional) and are functions only of the soil friction angle, ϕ '

The variations of bearing capacity factors and underlying soil friction angle are given in (Table 4.1) for general shear failure.

The equation above (for strip footing) was modified to be useful for both square and circular footings as following:

For square footing: $q_u = 1.3c'N_c + qN_q + 0.4\gamma BN_{\gamma}$

B=The dimension of each side of the foundation .

For circular footing:

 $q_u = 1.3c'N_c + qN_q + 0.3\gamma BN_{\gamma}$

B=The diameter of the foundation .

Note:

These two equations are also for general shear failure, and all factors in the two equations (except, B,) are the same as explained for strip footing.

TERZAGHI'S BEARING CAPACITY FACTORS

Table 6.1 Terzaghi's Bearing Capacity Factors—Eqs. (4.15), (4.13), and (4.11). ^a								
	φ'	N _c	N_q	Nγª	φ'	N _c	N_q	N_{γ}^{a}
	0	5.70	1.00	0.00	26	27.09	14.21	9.84
	1	6.00	1.10	0.01	27	29.24	15.90	11.60
	2	6.30	1.22	0.04	28	31.61	17.81	13.70
	3	6.62	1.35	0.06	29	34.24	19.98	16.18
	4	6.97	1.49	0.10	30	37.16	22.46	19.13
	5	7.34	1.64	0.14	31	40.41	25.28	22.65
	6	7.73	1.81	0.20	32	44.04	28.52	26.87
	7	8.15	2.00	0.27	33	48.09	32.23	31.94
	8	8.60	2.21	0.35	34	52.64	36.50	38.04
	9	9.09	2.44	0.44	35	57.75	41.44	45.41
	10	9.61	2.69	0.56	36	63.53	47.16	54.36
	11	10.16	2.98	0.69	37	70.01	53.80	65.27
	12	10.76	3.29	0.85	38	77.50	61.55	78.61
	13	11.41	3.63	1.04	39	85.97	70.61	95.03
	14	12.11	4.02	1.26	40	95.66	81.27	115.31
	15	12.86	4.45	1.52	41	106.81	93.85	140.51
	16	13.68	4.92	1.82	42	119.67	108.75	171.99
	17	14.60	5.45	2.18	43	134.58	126.50	211.56
	18	15.12	6.04	2.59	44	151.95	147.74	261.60
	19	16.56	6.70	3.07	45	172.28	173.28	325.34
	20	17.69	7.44	3.64	46	196.22	204.19	407.11
	21	18.92	8.26	4.31	47	224.55	241.80	512.84
	22	20.27	9.19	5.09	48	258.28	287.85	650.67
	23	21.75	10.23	6.00	49	298.71	344.63	831.99
	24	23.36	11.40	7.08	50	347.50	415.14	1072.80
	25	25.13	12.72	8.34				

*From Kumbhojkar (1993)

FACTOR OF SAFETY

Ultimate bearing capacity is the maximum value the soil can bear it.

i.e. if the bearing stress from foundation exceeds the ultimate bearing capacity of the soil, shear failure in soil will be occur.

so we must design a foundation for a bearing capacity less than the ultimate bearing capacity to prevent shear failure in the soil. This bearing capacity is **"Allowable Bearing Capacity"** and we design for it.

i.e. the applied stress from foundation must not exceed the allowable bearing capacity of soil.

$$q_{\rm all,\,gross} = \frac{q_{\rm u,\,gross}}{FS}$$

q_{all,gross} = Gross allowable bearing capacity

 $q_{u,gross}$ = Gross ultimate bearing capacity (Terzaghi equation)

FS = Factor of safety for bearing capacity ≥ 3

However, practicing engineers prefer to use the "**net** allowable bearing capacity" such that: a - a

$$q_{\rm all(net)} = \frac{q_{\rm u} - q}{FS}$$
$$q = \gamma D_f$$

Example 6.1



Therefore, the maximum allowable load $Q = 287.6 \times 2 = 575$ kN/m.

Example 6.2

EXAMPLE 6.2

A design requires placing a square foundation at 1.0 m depth to carry a column load of 1500 kN. The soil properties are: $c' = 15 \text{ kN/m}^2$, $\phi' = 24^\circ$, and $\gamma = 18.5 \text{ kN/m}^3$. What should be the width *B* of the foundation?

SOLUTION SOLUTION SOLUTION

From Eq. (6.19),

$$q_{\mu} = 1.3c'N_c + qN_q + 0.4\gamma BN_{\gamma}$$

From Table 6.1, $N_c = 23.36$, $N_q = 11.40$, and $N_{\gamma} = 7.08$.

 $q_u = (1.3)(15)(23.36) + (18.5 \times 1.0)(11.40) + (0.4)(18.5)(B)(7.08)$ = 52.4 B + 666.4 kN/m²

 $q_{\text{all}} = \frac{q_u}{\text{FS}} = \frac{52.4B + 666.4}{3} = 17.5B + 222.1$

The applied pressure to the ground is $\frac{1500}{B^2}$ kN/m². Therefore, $\frac{1500}{B^2} = 17.5B + 222.1$. By trial and error (or use of a graphics calculator), B = 2.4 m. Terzaghi equation gives the ultimate bearing capacity based on the assumption that the water table is located well below the foundation.

However, if the water table is close to the foundation, the bearing capacity will decrease due to the effect of water table, so, some modification of the bearing capacity equation will be necessary.

The values which will be modified are:

1. q (for soil above the foundation) in the second term of equation.

2. γ (for the underlying soil) in the third term of equation .

There are three cases according to location of water table:

Case I. The water table is located so that $0 \le D_1 \le D_f$

$$\mathbf{q} = \mathbf{D}_{1} \boldsymbol{\gamma} + \mathbf{D}_{2} (\boldsymbol{\gamma}_{sat} - \boldsymbol{\gamma}_{w})$$
$$\boldsymbol{\gamma} \rightarrow \boldsymbol{\gamma}' = \boldsymbol{\gamma}_{sat} - \boldsymbol{\gamma}_{w}$$



Modification of Bearing Capacity Equations for Water Table

Case II. The water table is located so that 0≤d≤B :



Case III. The water table is located so that d≥B

in this case the water table is assumed have <u>no effect</u> on the ultimate bearing capacity.

Terzagi's equations shortcomings:

- □ They don't deal with rectangular foundations (0<B/L<1).
- □ The equations do not take into account the shearing resistance along the failure surface in soil above the bottom of the foundation.
- □ The inclination of the load on the foundation is not considered (if exist).
 - To account for all these shortcomings, <u>Meyerhof</u> suggested the following form of the general bearing capacity equation:

 $\mathbf{q}_{u} = \mathbf{c}^{\prime} \mathbf{N}_{c} \mathbf{F}_{cs} \mathbf{F}_{cd} \mathbf{F}_{ci} + \mathbf{q} \mathbf{N}_{q} \mathbf{F}_{qs} \mathbf{F}_{qd} \mathbf{F}_{qi} + \mathbf{0.5} \mathbf{B} \gamma \mathbf{N}_{\gamma} \mathbf{F}_{\gamma s} \mathbf{F}_{\gamma d} \mathbf{F}_{\gamma i}$ Where

- C'=Cohesion of the underlying soil
- q = Effective stress at the level of the bottom of the foundation.
- γ = Unit weight of the underlying soil
- **B** = Width of footing (=diameter for a circular foundation).
- N_c , N_q , N_γ = Bearing capacity factors (Table 4.2)
- F_{cs} , F_{qs} , $F_{\gamma s}$ = Shape factors.
- F_{cd} , F_{qd} , $F_{\gamma d}$ = Depth factors.
- F_{ci} , F_{qi} , $F_{\gamma i}$ = Inclination factors.

In the case of inclined loading on a foundation, the general equation provides the vertical component.

Notes:

1. This equation is valid for both general and local shear failure.

2. This equation is similar to original equation for ultimate bearing capacity (Terzaghi's equation) which was derived for continuous foundation, but the shape, depth, and load inclination factors are added to Terzaghi's equation to be suitable for any case may exist.

Bearing Capacity Factors: N_c , N_a , N_γ

The angle $\alpha = \phi'$ (according Terzaghi theory) was replaced by $\alpha = 45 + \phi'/2$. So, the bearing capacity factor will be changed.

The variations of bearing capacity factors (N_c , N_q , N_γ) and underlying soil friction angle (ϕ ') are given in Table 4.2.

Table 6.2	Bearing Ca	pacity Factor	rs				
φ'	N _c	N _q	N ₇	φ'	N _c	N _q	N _y
0	5.14	1.00	0.00	16	11.63	4.34	3.06
1	5.38	1.09	0.07	17	12.34	4.77	3.53
2	5.63	1.20	0.15	18	13.10	5.26	4.07
3	5.90	1.31	0.24	19	13.93	5.80	4.68
4	6.19	1.43	0.34	20	14.83	6.40	5.39
5	6.49	1.57	0.45	21	15.82	7.07	6.20
6	6.81	1.72	0.57	22	16.88	7.82	7.13
7	7.16	1.88	0.71	23	18.05	8.66	8.20
8	7.53	2.06	0.86	24	19.32	9.60	9.44
9	7.92	2.25	1.03	25	20.72	10.66	10.88
10	8.35	2.47	1.22	26	22.25	11.85	12.54
11	8.80	2.71	1.44	27	23.94	13.20	14.47
12	9.28	2.97	1.69	28	25.80	14.72	16.72
13	9.81	3.26	1.97	29	27.86	16.44	19.34
14	10.37	3.59	2.29	30	30.14	18.40	22.40
15	10.98	3.94	2.65	31	32.67	20.63	25.99
32	35.49	23.18	30.22	42	93.71	85.38	155.55
33	38.64	26.09	35.19	43	105.11	99.02	186.54
34	42.16	29.44	41.06	44	118.37	115.31	224.64
35	46.12	33.30	48.03	45	133.88	134.88	271.76
36	50.59	37.75	56.31	46	152.10	158.51	330.35
37	55.63	42.92	66.19	47	173.64	187.21	403.67
38	61.35	48.93	78.03	48	199.26	222.31	496.01
39	67.87	55.96	92.25	49	229.93	265.51	613.16
40	75.31	64.20	109.41	50	266.89	319.07	762.89
41	83.86	73.90	130.22				

Shape factors:

Shape	$F_{cs} = 1 + \left(\frac{B}{L}\right) \left(\frac{N_q}{N_c}\right)$	DeBeer (1970)
	$F_{qs} = 1 + \left(\frac{B}{L}\right) \tan \phi'$	
	$F_{\gamma s} = 1 - 0.4 \left(\frac{B}{L}\right)$	

Notes:

- 1. If the foundation is continuous or strip \rightarrow B/L=0.0
- 2. If the foundation is circular \rightarrow B=L=diameter \rightarrow B/L=1

Depth Factors:

Important Notes:

1. If the value of (B) or (D_f) is required, you should do the following:

Assume ($D_f/B \le 1$) and calculate depth factors in term of (B) or (D_f).

Substitute in the general equation, then calculate (B) or (D_f) .

After calculating the required value, you must check your assumption \rightarrow (D_f/B≤1).

If the assumption is true, the calculated value is the final required value.

If the assumption is wrong, you must calculate depth factors in case of $(D_f/B>1)$ and then calculate (B) or (D_f) to get the true value.

2. For both cases (D_f/B≤1)and(D_f/B>1) if ϕ >0→ calculate F_{qd} firstly, because F_{cd} depends on F_{qd}.

Depth	$\frac{D_f}{n} \leq 1$	Hansen (1970)
	B For $\phi = 0$:	
	$F_{cd} = 1 + 0.4 \left(\frac{D_f}{B}\right)$	
	$F_{qd} = 1$ $F_{\gamma d} = 1$	
	For $\phi' > 0$:	
	$F_{cd} = F_{qd} - \frac{1 - F_{qd}}{N_c \tan \phi'}$	
	$F_{qd} = 1 + 2 \tan \phi' \left(1 - \sin \phi'\right)^2 \left(\frac{D_f}{B}\right)$	
	$F_{\gamma^d} = 1$ $\frac{D_f}{B} > 1$	
	For $\phi = 0$:	
	$F_{cd} = 1 + 0.4 \tan^{-1} \left(\frac{D_f}{B} \right)$	
	$F_{qd} = 1$ radians $F_{\gamma d} = 1$	
	For $\phi' > 0$:	
	$F_{cd} = F_{qd} - \frac{1 - F_{qd}}{N_c \tan \phi'}$	
	$F_{qd} = 1 + 2 \tan \phi' (1 - \sin \phi')^2 \tan^{-1} \left(\frac{D_f}{B}\right)$	
	$F_{\gamma d} = 1$ radians	_

Inclination Factors:



Note: If $\beta^{\circ}=\varphi \rightarrow F_{\gamma i}=0.0$, so you don't need to calculate $F_{\gamma s}$ and $F_{\gamma d}$, because the last term in Meyerhof equation will be zero.

Example 6.3

EXAMPLE 6.3 dol mon 248 = 04 101

Solve Example 6.1 using Eq. (6.28).

SOLUTION

From Eq. (6.28), the ultimate bearing capacity is given by

 $q_{\mu} = c' N_c F_{cs} F_{cd} F_{ci} + q N_a F_{as} F_{ad} F_{ai} + 0.5 \gamma B N_{\gamma} F_{\gamma s} F_{\gamma d} F_{\gamma i}$

For $\phi' = 26^{\circ}$, from Table 6.2, $N_c = 22.25$, $N_q = 11.85$, and $N_{\gamma} = 12.54$. Since the load is vertical, the inclination factors are unity.

For strip foundation, L > B and, hence, all three shape factors are unity.

$$F_{qd} = 1 + 2 \tan \phi' (1 - \sin \phi')^2 \left(\frac{D_f}{B}\right) = 1 + 2 \tan 26(1 - \sin 26)^2 \times \frac{1.5}{2.0} = 12$$

$$F_{cd} = F_{qd} - \frac{1 - F_{qd}}{N_c \tan \phi'} = 1.23 - \frac{1 - 1.23}{22.25 \tan 26} = 1.25$$

$$F_{vd} = 1$$

Hence,

 $\begin{aligned} q_{\mu} &= (10) (22.25) (1) (1.25)(1) + (19.0 \times 1.5) (11.85) (1) (1.23) (1.0) \\ &+ (0.5)(19.0)(2.0) (12.54) (1) (1) (1) \end{aligned}$ $= 931.8 \text{ kN/m}^2$ $q_{all} = \frac{q_u}{FS} = \frac{931.8}{3} = 310.6 \text{ kN/m}^2$ Therefore, the maximum allowable load $Q = 310.6 \times 2 = 621$ kN/m. 575 kN/m

Read Examples 6.4 & 6.5

N_y Relationships

		D I I
Investigator	16'91	Relationship
Meyerhof (1963)	8.13	$N_{\gamma} = (N_q - 1) \tan 1.4\phi'$
Hansen (1970)		$N_{\gamma} = 1.5(N_q - 1) \tan \phi'$
Biarez (1961)	11.22	$N_{\gamma} = 1.8(N_q - 1) \tan \phi'$ eve
Booker (1969)	13.16	$N_{\gamma} = 0.1045 e^{9.6\phi'}$ (ϕ' is in radians)
Michalowski (1997)		$N_{\gamma} = e^{(0.66+5.1\tan\phi')} \tan\phi'$
Hjiaj et al. (2005)		$N_{\gamma} = e^{(1/6)(\pi + 3\pi^2 \tan \phi')} \times (\tan \phi')^{2\pi/5}$
Martin (2005)	21.29	$N_{\alpha} = (N_{\alpha} - 1) \tan 1.32\phi'$

Table 6.5

$$F_{cs} = 1 + (1.8 \tan^2 \phi' + 0.1) \left(\frac{B}{L}\right)^{0.5}$$
(6.34)

$$F_{qs} = 1 + 1.9 \tan^2 \phi' \left(\frac{B}{L}\right)^{0.5}$$
(6.35)

$$F_{\gamma s} = 1 + (0.6 \tan^2 \phi' - 0.25) \left(\frac{B}{L}\right)$$
(for $\phi' \le 30^\circ$) (6.36)
and

$$F_{\gamma s} = 1 + (1.3 \tan^2 \phi' - 0.5) \left(\frac{L}{B}\right)^{1.5} e^{-\frac{L}{B}}$$
(for $\phi' > 30^\circ$) (6.37)



EFFECT OF SOIL COMPRESSIBILITY

The change of failure mode is due to soil compressibility.

Vesic (1973) proposed the following modification to the general bearing capacity equation:

 $q_u = c' N_c F_{cs} F_{cd} F_{cc} + q N_q F_{qs} F_{qd} F_{qc} + 0.5 B \gamma N_{\gamma} F_{\gamma s} F_{\gamma d} F_{\gamma c}$

where F_{cc} , F_{qc} , and $F_{\gamma c}$ are soil compressibility factors.

Steps for calculating the soil compressibility factors.

Page 232

Step 1. Calculate the rigidity index, I_r , of the soil at a depth approximately B/2 below the bottom of the foundation, or

$$I_r = \frac{G_r}{c' + q' \tan \phi'}$$

where

 G_s = shear modulus of the soil

 $q' = \text{effective overburden pressure at a depth of } D_f + B/2$

Step 2. The critical rigidity index, Ir(cr), can be expressed as

$$I_{r(ct)} = \frac{1}{2} \left\{ \exp\left[\left(3.30 - 0.45 \frac{B}{L} \right) \left| \cot\left(45 - \frac{\phi'}{2} \right) \right] \right\}$$

The variations of $I_{r(cr)}$ with B/L are given in Table 4.8.

Step 3. If $I_r \ge I_{r(cr)}$, then

$$F_{cc} = F_{qc} = F_{\gamma c} = 1$$

However, if $I_r < I_{r(\alpha)}$, then

$$F_{\gamma c} = F_{qc} = \exp\left\{\left(-4.4 + 0.6\frac{B}{L}\right)\tan\phi' + \left[\frac{(3.07\sin\phi')(\log 2I_r)}{1 + \sin\phi'}\right]\right\}$$

EFFECT OF SOIL COMPRESSIBILITY

Table 6.8		Variation of	f $I_{r(cr)}$ with ϕ' a	nd <i>B/L</i>				
¢'		l _{r(ar)}						
	(deg)	B/L = 0	B/L = 0.2	B/L = 0.4	B/L = 0.6	B/L = 0.8	B/L = 1.0	
	0	13.56	12.39	11.32	10.35	9.46	8.64	
	5	18.30	16.59	15.04	13.63	12.36	11.20	
	10	25.53	22.93	20.60	18.50	16.62	14.93	
	15	36.85	32.77	29.14	25.92	23.05	20.49	
	20	55.66	48.95	43.04	37.85	33.29	29.27	
	25	88.93	77.21	67.04	58.20	50.53	43.88	
	30	151.78	129.88	111.13	95.09	81.36	69.62	
	35	283.20	238.24	200.41	168.59	141.82	119.31	
	40	593.09	488.97	403.13	332.35	274.01	225.90	
	45	1440.94	1159.56	933.19	750.90	604.26	486.26	



$$F_{\rm cc} = 0.32 + 0.12 \frac{B}{L} + 0.60 \log I_r$$

Figure 6.17 Variation of
$$F_{\gamma e} = F_{q e}$$
 with I, and ϕ'

For $\phi' > 0$,

$$F_{cc} = F_{qc} - \frac{1-F_{qc}}{N_q \tan \phi'}$$

EXAMPLE 6.6

Example 6.6

For a shallow foundation, B = 0.6 m, L = 1.2 m, and $D_f = 0.6$ m. The known soil characteristics are

Soil:

 $\begin{array}{l} \phi' = 25^{\circ} \\ c' = 48 \ \text{kN/m}^2 \\ \gamma = 18 \ \text{kN/m}^3 \\ \text{Modulus of elasticity, } E_s = 620 \ \text{kN/m}^2 \\ \text{Poisson's ratio, } \mu_s = 0.3 \end{array}$

Calculate the ultimate bearing capacity.

Solution

From Eq. (4.39),

 $I_r = \frac{G_r}{c' + q' \tan \phi'}$

However,

 $G_{\rm g} = \frac{E_{\rm g}}{2\left(1+\mu_{\rm g}\right)}$

So

$$I_r = \frac{E_s}{2(1+\mu_s)[c'+q'\tan\phi']}$$

Now,

 $q' = \gamma \left(D_f + \frac{B}{2} \right) = 18 \left(0.6 + \frac{0.6}{2} \right) = 16.2 \text{ kN/m}^2$

Thus,

$$I_r = \frac{620}{2(1+0.3)[48+16.2\tan 25]} = 4.29$$

From Eq. (4.40),

$$I_{p(cr)} = \frac{1}{2} \left\{ \exp\left[\left(3.3 - 0.45 \frac{B}{L} \right) \cot\left(45 - \frac{\phi'}{2} \right) \right] \right\}$$
$$= \frac{1}{2} \left\{ \exp\left[\left(3.3 - 0.45 \frac{0.6}{1.2} \right) \cot\left(45 - \frac{25}{2} \right) \right] \right\} = 62.41$$

Since $I_{r(ct)} > I_r$, we use Eqs. (4.41) and (4.43) to obtain

$$\begin{split} F_{\gamma c} &= F_{qc} 5 \exp \left\{ \left(-4.4 + 0.6 \frac{B}{L} \right) \tan \phi' + \left[\frac{(3.07 \sin \phi') \log(2I_{r})}{1 + \sin \phi'} \right] \right\} \\ &= \exp \left\{ \left(-4.4 + 0.6 \frac{0.6}{1.2} \right) \tan 25 \\ &+ \left[\frac{(3.07 \sin 25) \log \left(2 \times 4.29\right)}{1 + \sin 25} \right] \right\} = 0.347 \end{split}$$

and

$$F_{cc} = F_{qc} - \frac{1 - F_{qc}}{N_c \tan \phi'}$$

For $\phi' = 25^\circ$, $N_c = 20.72$ (see Table 4.2); therefore,

$$F_{cc} = 0.347 - \frac{1 - 0.347}{20.72 \tan 25} = 0.279$$

Now, from Eq. (4.38),

$$q_{u} = c' N_{c} F_{cd} F_{cc} + q N_{q} F_{qs} F_{qd} F_{qc} + \frac{1}{2} \gamma B N_{\gamma} F_{\gamma d} F_{\gamma d} F_{\gamma c}$$

From Table 4.2, for $\phi' = 25^\circ$, $N_c = 20.72$, $N_q = 10.66$, and $N_{\gamma} = 10.88$. Consequently,

$$F_{cr} = 1 + \left(\frac{N_q}{N_c}\right)\left(\frac{B}{L}\right) = 1 + \left(\frac{10.66}{20.72}\right)\left(\frac{0.6}{1.2}\right) = 1.257$$

$$F_{qr} = 1 + \frac{B}{L}\tan\phi' = 1 + \frac{0.6}{1.2}\tan 25 = 1.233$$

$$F_{qr} = 1 - 0.4\left(\frac{B}{L}\right) = 1 - 0.4\frac{0.6}{1.2} = 0.8$$

$$F_{qr} = 1 + 2\tan\phi'(1 - \sin\phi')^2\left(\frac{D_f}{B}\right)$$

$$= 1 + 2\tan 25(1 - \sin 25)^2\left(\frac{0.6}{0.6}\right) = 1.311$$

$$F_{cr} = F_{qr} - \frac{1 - F_{qr}}{N_c \tan\phi'} = 1.311 - \frac{1 - 1.311}{20.72 \tan 25}$$

$$= 1.343$$

and

 $F_{\gamma d} = 1$

Thus,

 $q_u = (48)(20.72)(1.257)(1.343)(0.279) + (0.6 \times 18)(10.66)(1.233)(1.311)$

 $(0.347) + (\frac{1}{2})(18)(0.6)(10.88)(0.8)(1)(0.347) = 549.32 \text{ kN/m}^2$

ECCENTRICALLY LOADED FOUNDATION

If the load applied on the foundation is in the center of the foundation without eccentricity, the bearing capacity of the soil will be uniform at any point under the foundation (as shown in figure) because there is no any moments on the foundation, and the general equation for stress under the foundation is: O M N M X

Stress
$$q = \frac{Q}{A} \pm \frac{M_x y}{I_x} \pm \frac{M_y x}{I_y}$$

In this case, the load is in the center of the foundation and there are no moments so,

Stress $q = \frac{Q}{A}$ (uniform at any point below the foundation)



ECCENTRICALLY LOADED FOUNDATION

However, in several cases, as with the base of a retaining wall or neighbor footing, the loads does not exist in the center, so foundations are subjected to moments in addition to the vertical load (as shown in the figure). In such cases, the distribution of pressure by the foundation on the soil is not uniform because there is a moment applied on the foundation and the stress under the foundation will be calculated from:





Since the pressure under the foundation is not uniform, there are maximum and minimum pressures (under the two edges of the foundation) and we concerned about calculating these two pressures.

```
Assume the eccentricity is in direction of (B)

A=B×L

M=Q×e

c=B/2 (maximum distance from the center)

I = \frac{B^3 L}{12} (I is about the axis that resists the moment)
```

$$q = \frac{Q}{B^{*}L} \pm \frac{Q^{*}e^{*}B}{\frac{2B^{3}*L}{12}} = \frac{Q}{B^{*}L} \pm \frac{Q^{*}e^{*}6}{B^{2}*L}$$
$$q = \frac{Q}{B^{*}L} (1 \pm \frac{6e}{B})$$

There are three cases for calculating maximum and minimum pressures according to the values of (e and B/6)

Case I. (For e<B/6):

$$q_{\max} = \frac{Q}{B^*L} (1 + \frac{6e}{B})$$
$$q_{\min} = \frac{Q}{B^*L} (1 - \frac{6e}{B})$$

If eccentricity in (L) direction (For e<L/6):

$$q_{\max} = \frac{Q}{B^*L} (1 + \frac{6e}{L})$$
$$q_{\min} = \frac{Q}{B^*L} (1 - \frac{6e}{L})$$

In this case, q_{min} is positive



Case II. (For e=B/6):

$$q_{\max} = \frac{Q}{B^*L} (1 + \frac{6e}{B})$$
$$q_{\min} = \frac{Q}{B^*L} (1 - 1) = 0$$

If eccentricity in (L) direction (For e= L/6):

$$q_{\max} = \frac{Q}{B^*L} (1 + \frac{6e}{L})$$
$$q_{\min} = \frac{Q}{B^*L} (1 - 1) = 0$$



Case III. (For e>B/6):

As shown in the figure, the value of (q_{min}) is negative (i.e. tension in soil), but we know that soil can't resist any tension, thus, negative pressure must be prevented by making $(q_{min}=0)$ at distance (x) from point (A) as shown in the figure, and determine the new value of (q_{max}) by static equilibrium as following:

```
\begin{array}{l} \mbox{R=area of triangle*L} \\ = 0.5^* q_{max,new} {}^*X^*L & (1) \\ \Sigma F_y = 0.0 \rightarrow R = Q & (2) \\ \Sigma M @A = 0.0 \rightarrow Q^* (B/2 - e) = R^*X/3 \\ (but from Eq.2 \rightarrow R = Q) \rightarrow X = 3(B/2 - e) \\ Substitute by X in Eq. (1) \rightarrow \\ R = Q = 0.5^* q_{max,new} {}^*3(B/2 - e)^*L \end{array}
```

```
→q<sub>max,new</sub>=4Q/[3L(B−2e)]
```





 $=\frac{1}{2}$ $I = \frac{\pi D^4}{6^2}$

Effective Area Method:

If the load does not exist in the center of the foundation, or if the foundation located to moment in addition to the vertical loads, the stress distribution under the foundation is not uniform. So, to calculate the ultimate (uniform) bearing capacity under the foundation, new area should be determined to make the applied load in the center of this area and to develop uniform pressure under this new area. This new area is called Effective area.

Effective Area Method (Meyerhoff, 1953)

In 1953, Meyerhof proposed a theory that is generally referred to as the *effective area* method.

The following is a step-by-step procedure for determining the ultimate load that the soil can support and the factor of safety against bearing capacity failure:

Step 1. Determine the effective dimensions of the foundation

B' = effective width = B - 2eL' = effective length = L

Note that if the eccentricity were in the direction of the length of the foundation, the value of L' would be equal to L - 2e. The value of B' would equal B. The smaller of the two dimensions (i.e., L' and B') is the effective width of the foundation.

Step 2. Use Eq. for the ultimate bearing capacity:

 $q'_{u} = c' N_c F_{cs} F_{cd} F_{ci} + q N_q F_{qs} F_{qd} F_{qi} + \frac{1}{2} \gamma B' N_{\gamma} F_{\gamma s} F_{\gamma d} F_{\gamma i}$

To evaluate F_{cs} , F_{qs} , and $F_{\gamma s}$, use the relationships given in Table with *effective length* and *effective width* dimensions instead of L and B, respectively. To determine F_{cd} , F_{qd} , and $F_{\gamma d}$, use the relationships given in Table However, do not replace B with B'.

Step 3. The total ultimate load that the foundation can sustain is

$$Q_u = \frac{A'}{q'_u(B')(L')}$$

where A' = effective area.

Step 4. The factor of safety against bearing capacity failure is

$$FS = \frac{Q_u}{Q}$$

It is important to note that q'_u is the ultimate bearing capacity of a foundation width B' = B - 2e with a centric load However, the actual distribution soil reaction at ultimate load will be of the type shown in $q_{u(e)}$ is the average load per unit area of the foundation. Thus,

$$q_{u(e)} = \frac{q'_u(B-2e)}{B}$$



EXAMPLE 6.7

A continuous foundation is shown in Figure 4. If the load eccentricity is 0.2 m, determine the ultimate load, Q_u , per unit length of the foundation. Use Meyerhof's effective area method.

Solution For c' = 0,

$$q'_{\mu} = qN_qF_{qs}F_{qd}F_{qt} + \frac{1}{2}\gamma'B'N_{\gamma}F_{\gamma s}F_{\gamma d}F_{\gamma}$$

where $q = (16.5) (1.5) = 24.75 \text{ kN/m}^2$.





For $\phi' = 40^{\circ}$, from Table 4.2, $N_q = 64.2$ and $N_{\gamma} = 109.41$. Also, B' = 2 - (2)(0.2) = 1.6 m

Because the foundation in question is a continuous foundation, B'/L' is zero. Hence, $F_{qs} = 1$, $F_{\gamma s} = 1$. From Table

$$F_{qi} = F_{\gamma i} = 1$$

$$F_{qd} = 1 + 2 \tan \phi' (1 - \sin \phi')^2 \frac{D_f}{B} = 1 + 0.214 \left(\frac{1.5}{2}\right) = 1.16$$

$$F_{\gamma d} = 1$$

and

$$q'_{\mu} = (24.75)(64.2)(1)(1.16)(1) + \left(\frac{1}{2}\right)(16.5)(1.6)(109.41)(1)(1)(1) = 3287.39 \text{ kN/m}^2$$

Consequently,

$$Q_u = (B')(1)(q'_u) = (1.6)(1)(3287.39) \approx 5260 \text{ kN}$$

This condition is equivalent to a load O_a placed eccentrically on the foundation with $x = e_B$ and $y = e_L$ (Figure 6.25

$$e_B = \frac{M_y}{Q_a}$$

and

$$e_L = \frac{M_x}{O_x}$$

If Q_{α} is needed, it can be obtained from Eq. that is,

$$Q_a = q'_a A'$$

where, from Eq. (4.51),

$$q'_{a} = c'N_{c}F_{cs}F_{cd}F_{cl} + qN_{q}F_{qs}F_{qd}F_{ql} + \frac{1}{2}\gamma B'N_{\gamma}F_{\gamma s}F_{\gamma d}F_{\gamma d}$$

and

A' = effective area = B'L'

As before, to evaluate F_{cd} , F_{qp} and $F_{\gamma p}$ we use the effective length L' and effective width B' instead of L and B, respectively. To calculate F_{cd} , F_{qd} , and $F_{\gamma d}$, we do not replace B with B'. In determining the effective area A', effective width B', and effective length L', five possible cases may arise (Highter and Anders, 1985).



Case I. $e_L/L \ge \frac{1}{6}$ and $e_B/B \ge \frac{1}{6}$. The effective area for this condition is shown in Figure 6.26 $A' = \frac{1}{2}B_1L_1$

where

$$B_1 = B\left(1.5 - \frac{3e_B}{B}\right)$$

and

$$L_1 = L \left(1.5 - \frac{3e_L}{L} \right)$$

The effective length L' is the larger of the two dimensions B_1 and L_1 . So the effective width is

 $B' = \frac{A'}{L'}$



Case II. $e_r/L < 0.5$ and $0 < e_B/B < \frac{1}{6}$. The effective area for this case, shown in Figure 6.27

$$A' = \frac{1}{2}(L_1 + L_2)B$$

The magnitudes of L_1 and L_2 can be determined from Figure 4.26b. The effective width is

 $B' = \frac{A'}{L_1 \text{ or } L_2 \quad \text{(whichever is larger)}}$

The effective length is

$$L' = L_1 \text{ or } L_2$$
 (whichever is larger)





Case III. $e_L/L < \frac{1}{6}$ and $0 < e_B/B < 0.5$. The effective area, shown in Figure 6.28

$$A' = \frac{1}{2}(B_1 + B_2)L$$

The effective width is

$$B' = \frac{A'}{L}$$

The effective length is

L' = LThe magnitudes of B_1 and B_2 can be determined from Figure 6.28



Case IV. $e_L/L < \frac{1}{6}$ and $e_B/B < \frac{1}{6}$. Figure 6.29 ows the effective area for this case. The ratio B_2/B , and thus B_2 , can be determined by using the e_L/L curves that slope upward. Similarly, the ratio L_2/L , and thus L_2 , can be determined by using the e_L/L curves that slope downward. The effective area is then

$$A' = L_2 B + \frac{1}{2}(B + B_2)(L - L_2)$$

The effective width is

$$B' = \frac{A'}{L}$$

The effective length is

L' = L



Case V. (Circular Foundation) In the case of circular foundations under eccentric loading Figure 6.30 the eccentricity is always one way. The effective area A' and the effective width B' for a circular foundation are given in a nondimensional form in Table 6.10 Once A' and B' are determined, the effective length can be obtained as

$$L' = \frac{A'}{B'}$$

Та	ble 6.10	Variation of A'/R^2 and B'/R with e_R/R for Circular Foundations				
	e _R /R	A'/R ²	B'/R			
	0.1	2.8	1.85			
	0.2	2.4	1.32			
	0.3	2.0	1.2			
	0.4	1.61	0.80			
	0.5	1.23	0.67			
	0.6	0.93	0.50			
	0.7	0.62	0.37			
	0.8	0.35	0.23			
	0.9	0.12	0.12			
	1.0	0	0			



EXAMPLE 6.10

A square foundation is shown with $e_L = 0.3$ m and $e_B = 0.15$ m. Assume two-way eccentricity, and determine the ultimate load, Q_u .

Solution

We have

$$\frac{e_L}{L} = \frac{0.3}{1.5} = 0.2$$

and

$$\frac{e_B}{B} = \frac{0.15}{1.5} = 0.15$$

This case is similar to that shown in and $e_B/B = 0.1$,

$$\frac{1}{L} \approx 0.85;$$
 $L_1 = (0.85)(1.5) = 1.275 \text{ m}$

and

$$\frac{L_2}{L} \approx 0.21;$$
 $L_2 = (0.21)(1.5) = 0.315 \text{ m}$

$$A' = \frac{1}{2}(L_1 + L_2)B = \frac{1}{2}(1.275 + 0.315)(1.5) = 1.193 \text{ m}$$

 $L' = L_1 = 1.275 \text{ m}$



EXAMPLE 6.10

For
$$\phi' = 30^\circ, 1$$

 $N_q = 18.4 \text{ and } N_{\gamma} = 22.4.$
 $F_{qs} = 1 + \left(\frac{B'}{L'}\right) \tan \phi' = 1 + \left(\frac{0.936}{1.275}\right) \tan 30^\circ = 1.424$
 $F_{\gamma g} = 1 - 0.4 \left(\frac{B'}{L'}\right) = 1 - 0.4 \left(\frac{0.936}{1.275}\right) = 0.706$
 $F_{qd} = 1 + 2 \tan \phi' (1 - \sin \phi')^2 \frac{D_f}{B} = 1 + \frac{(0.289)(0.7)}{1.5} = 1.135$

and

 $F_{\gamma d} = 1$

So

$$Q_{u} = A'q'_{u} = A'(qN_{q}F_{qs}F_{qd} + \frac{1}{2}\gamma B'N_{\gamma}F_{\gamma d}F_{\gamma d})$$

= (1.193)[(12.6)(18.4)(1.424)(1.135)
+ (0.5)(18)(0.936)(22.4)(0.706)(1)] \approx 606 kN

Read Examples 6.11 & 6.12

Bearing Capacity of a Continuous Foundation Subjected to Eccentrically Inclined Loading



Continuous foundation subjected to eccentrically inclined load: (a) partially compensated case and (b) reinforced case

Partially Compensated Case

Meyerhof's effective area method can be used to determine the ultimate load Qu(ei).

$$q'_{u} = c' N_c F_{cd} F_{ci} + q N_q F_{qd} F_{qi} + \frac{1}{2} \gamma N_{\gamma} B' F_{\gamma d} F_{\gamma i}$$

 q'_{a} = the vertical component of the soil reaction.

$$Q_{u(ei)} = \frac{(q'_u)(B')(1)}{\cos \beta} = \frac{q'_u(B-2e)}{\cos \beta}$$

Bearing Capacity of a Continuous Foundation Subjected to Eccentrically Inclined Loading

Patra et al. (2012a) proposed a reduction factor to estimate *Qu(ei)* for a foundation on granular soil:

$$Q_{u(ei)} = q_u B(RF)$$

where RF = reduction factor

 q_u = ultimate bearing capacity of the foundation with centric vertical loading

i.e.,
$$e = 0, \beta = 0$$

The reduction factor can be expressed as

$$RF = \left(1 - 2\frac{e}{B}\right) \left(1 - \frac{\beta^{\circ}}{\phi'}\right)^{2 - (D_{f}/B)}$$

$$Q_{u(ei)} = q_u B \left(1 - 2\frac{e}{B} \right) \left(1 - \frac{\beta^\circ}{\phi'} \right)^{2 - (D_f/B)}$$

Reinforced Case (Granular Soil)

Patra et al. (2012b) conducted several model tests on continuous foundations on granular soil and gave the following correlation to estimate Qu(ei)

$$Q_{u(ei)} = q_u B \left(1 - 2\frac{e}{B}\right) \left(1 - \frac{\beta^\circ}{\phi'}\right)^{1.5 - 0.7(D_g/B)}$$

EXAMPLE 6.13



$$q = \gamma D_f = (16)(1) = 16 \text{ kN/m}^2$$

and

B' = B - 2e = 1.5 - (2)(0.15) = 1.2 mfor $\phi' = 35^\circ$, $N_q = 33.3$, and $N_{\gamma} = 48.03$, we have

$$F_{qd} = 1 + 2 \tan \phi' (1 - \sin \phi')^2 \left(\frac{D_f}{B}\right) = 1 + 2 \tan 35(1 - \sin 35)^2 \left(\frac{1}{1.5}\right) = 1.17$$
$$F_{\gamma d} = 1$$
$$F_{qi} = \left(1 - \frac{\beta^\circ}{90^\circ}\right)^2 = \left(1 - \frac{20}{90}\right)^2 = 0.605$$
$$F_{\gamma i} = \left(1 - \frac{\beta^\circ}{\phi'}\right)^2 = \left(1 - \frac{20}{35}\right)^2 = 0.184$$

$$q'_{u} = (16)(33.3)(1.17)(0.605) + \left(\frac{1}{2}\right)(16)(1.2)(48.03)(1)(0.184) = 461.98 \text{ kN/m}^{2}$$

and

$$Q_{u(ei)} = \frac{q'_u(B - 2e)}{\cos \beta} = \frac{(461.98)(1.2)}{\cos 20} = 589.95 \text{ kN} \approx 590 \text{ kN/m}$$

Read Example 6.14

IMPORTANT NOTES

1. The soil above the bottom of the foundation are used only to calculate the term (q) in the second term of bearing capacity equations (Terzaghi and Meyerhof) and all other factors are calculated for the underlying soil.

2. Always the value of (q) is the effective stress at the level of the bottom of the foundation.

3. For the underlying soil, if the value of (c=cohesion=0.0) you don't have to calculate factors in the first term in equations (N_c in Terzaghi's equations) and (N_c , F_{cs} , F_{cd} , F_{ci} in Meyerhof equation).

4. For the underlying soil, if the value of (ϕ =0.0) you don't have to calculate factors in the last term in equations (N_{γ} in Terzaghi's equations) and (N_{γ}, F_{γ s}, F_{γ d}, F_{γ i} in Meyerhof equation).

5. If the load applied on the foundation is inclined with an angle ($\beta=\varphi$). The value of ($F_{\gamma i}$) will be zero, so you don't have to calculate factors in the last term of Meyerhof equation (N_{γ} , $F_{\gamma s}$, $F_{\gamma d}$).

IMPORTANT NOTES

6. Always if we want to calculate the eccentricity, it's calculated as following:

$$e = \frac{\text{Overall Moment}}{\text{Vertical Loads}}$$

7. If the foundation is square, strip or circular, you may calculate (q_u) from Terzaghi or Meyerhof equations (should be specified in the problem).

8. But, if the foundation is rectangular, you must calculate (q_u) from Meyerhof general equation.

9. If the foundation width (B) is required, and there exist water table below the foundation at distance (d), you should assume $d\leq B$, and calculate B, then make a check for your assumption.