

# **Chapter 6 – Architectural Design**

# Lecture 1

### **Topics covered**



- $\diamond$  Architectural design decisions
- ♦ Architectural views
- $\diamond$  Architectural patterns
- ♦ Application architectures





- why the architectural design of software is
   important;
   important;
   important
   important
- Inderstand the decisions that have to be made about the system architecture during the architectural design process;
- Ave been introduced to the idea of architectural patterns, well-tried ways of organizing system architectures, which can be reused in system designs;
- know the architectural patterns that are often used in different types of application system, including transaction processing systems and language processing systems.



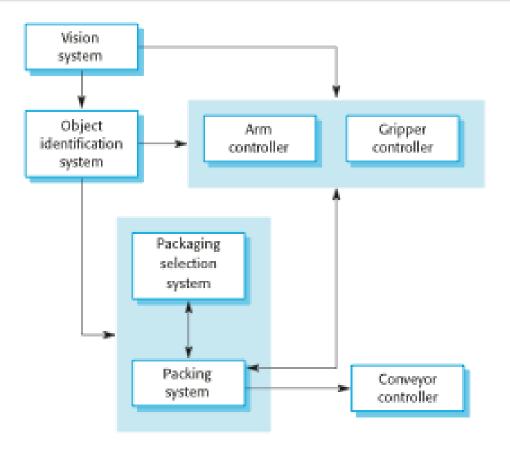
- The design process for identifying the sub-systems making up a system and the framework for subsystem control and communication is architectural design.
- The output of this design process is a description of the software architecture.



- An early stage of the system design process.
- Represents the link between specification and design processes.
- Often carried out in parallel with some specification activities.
- It involves identifying major system components and their communications.

# The architecture of a packing robot control system







- Architecture in the small is concerned with the architecture of individual programs. At this level, we are concerned with the way that an individual program is decomposed into components.
- ♦ Architecture in the large
  - is concerned with the architecture of complex enterprise systems that include other systems, programs, and program components.
  - These enterprise systems are distributed over different computers, which may be owned and managed by different companies.



- It affects the performance, robustness, distributability, and maintainability of a system.
- Individual components implement the functional system requirements.
- The non-functional requirements depend on the system architecture—the way in which these components are organized and communicate.
- In many systems, non-functional requirements are also influenced by individual components, but there is no doubt that the architecture of the system is the dominant influence.



## ♦ Stakeholder communication

- Architecture may be used as a focus of discussion by system stakeholders, for the negotiation of system requirements.
- It is an essential tool for complexity management. It hides details and allows the designers to focus on the key system abstractions.

## ♦ System analysis

- Means that analysis of whether the system can meet its nonfunctional requirements is possible.
- Architectural design decisions have a profound effect on whether or not the system can meet critical requirements such as performance, reliability, and maintainability.

## ♦ Large-scale reuse

- The architecture may be reusable across a range of systems with similar requirements and so can support large-scale software reuse



- Simple, informal block diagrams showing entities and relationships are the most frequently used method for documenting software architectures.
- $\diamond$  Example the figure above.
- $\diamond$  Each box in the diagram represents a component.
- Some set to sub-components.
- Arrows mean that data and or control signals are passed from component to component in the direction of the arrows.



#### $\diamond$ +ve

 present a high-level picture of the system structure, which people from different disciplines, who are involved in the system development process, can readily understand.

#### $\diamond$ -ve

- But these have been criticized because they are very Abstract
- lack semantics, do not show the types of relationships between entities nor the externally visible properties of entities in the architecture.



♦ Depends on the use of architectural models.

 $\diamond$  As a way of facilitating discussion about the system design

- A high-level architectural view of a system is useful for communication with system stakeholders and project planning because it is not cluttered with detail.
- Stakeholders can relate to it and understand an abstract view of the system. They can then discuss the system as a whole without being confused by detail.
- Block diagrams are good for this purpose

 $\diamond$  As a way of documenting an architecture that has been designed

- The aim here is to produce a complete system model that shows the different components in a system, their interfaces and their connections.
- In this case it is better to use a notation with well-defined semantics for architectural description.



- Architectural design is a creative process so the process differs depending on the type of system being developed. the background and experience of the system architect, and the specific requirements for the system.
- However, a number of common decisions span all design processes
- And these decisions affect the non-functional characteristics of the system.
- System architects have to consider the following fundamental questions about the system:



♦ Is there a generic application architecture that can be used?

- When designing a system architecture, you have to decide what your system and broader application classes have in common, and decide how much knowledge from these application architectures you can reuse.
- ♦ How will the system be **distributed**?
  - The choice of distribution architecture is a key decision that affects the performance and reliability of the system
- ♦ What architectural pattern styles are appropriate?
  - An architectural pattern is a description of a system organization such as a client-server organization or a layered architecture.
  - You should be aware of common patterns, where they can be used, and their strengths and weaknesses (section 6.3 discuss a number of frequently used patterns).

♦ What approach will be used to structure the system

How will the system be decomposed into module



- To decompose structural system units, you decide on the strategy for decomposing components into sub-components.
- The approaches that you can use allow different types of architectures to be implemented.
- What control strategy should be used?
  - you make decisions about how the execution of components is controlled.
  - You develop a general model of the control relationships between the various parts of the system



#### How will the architectural design be evaluated?

- Evaluating an architectural design is difficult because the true test of an architecture is how well the system meets its functional and non-functional requirements when it is in use.
- However, you can do some evaluation by comparing your design against reference architectures or generic architectural patterns.
- Or/And use Bosch's (2000) description of the non-functional characteristics of architectural patterns.
- How should the architecture be documented?
  - Section 6.2



- Systems in the same domain often have similar architectures that reflect domain concepts.
- Application product lines are built around a core architecture with variants that satisfy particular customer requirements.
- The architecture of a system may be designed around one of more architectural patterns or 'styles'.
  - These capture the essence of an architecture and can be instantiated in different ways.
  - Discussed later in this lecture.



- The particular architectural style and structure that you choose should depend on the non-functional system requirements:
- ♦ Performance
  - Localize critical operations and minimize communications..
  - This may mean using a few relatively large components rather than small, fine-grain components, which reduces the number of component communications.
- ♦ Security
  - Use a layered architecture with critical assets in the inner layers, with a high level of security validation applied to these layers



## ♦ Safety

- Localise safety-critical features in a small number of subsystems.
- This reduces the costs and problems of safety validation
- and makes it possible to provide related protection systems that can safely shut down the system in the event of failure.

## ♦ Availability

 Include redundant components and mechanisms for fault tolerance, so that it is possible to replace and update components without stopping the system. (see chapter 13)



# ♦ Maintainability

- If maintainability is a critical requirement, the system architecture should be designed using fine-grain, self-contained components that may readily be changed.
- Producers of data should be separated from consumers
- and shared data structures should be avoided.



- Obviously there is potential conflict between some of these architectures.
- For example, using large components improves performance and using small, fine-grain components improves maintainability.
- If both performance and maintainability are important system requirements, then some compromise must be found.
- This can sometimes be achieved by using different architectural patterns or styles for different parts of the system.



- Architectural models of a software system can be used to
  - focus discussion about the software requirements or design.
  - document a design
- $\diamond$  Two relevant issues
  - What views or perspectives are useful when designing and documenting a system's architecture?
  - What notations should be used for describing architectural models?



- Each architectural model only shows one view or perspective of the system.
- $\diamond$  It might show
  - how a system is decomposed into modules,
  - how the run-time processes interact
  - or the different ways in which system components are distributed across a network.
- For both design and documentation, you usually need
   to present multiple views of the software architecture.



- Krutchen (1995), in his well-known 4+1 view model of software architecture,
- Suggests that there should be four fundamental architectural views, which are related using use cases or scenarios.
- $\diamond$  The views that he suggests are



A logical view, which shows the key abstractions in the system as objects or object classes.

- It should be possible to relate the system requirements to entities in this logical view.
- A process view, which shows how, at run-time, the system is composed of interacting processes.
- A development view, which shows how the software is decomposed for development.
- A physical view, which shows the system hardware and how software components are distributed across the processors in the system.





- There are differing views about whether or not software architects should use the UML for architectural description (Clements, et al., 2002).
- ♦ A survey in 2006 (Lange et al., 2006) showed that, when the UML was used, it was mostly applied in a loose and informal way.
- ♦ The authors of that paper argued that this was a bad thing.
- The UML was designed for describing object-oriented systems and, at the architectural design stage, you often want to describe systems at a higher level of abstraction.
- Object classes are too close to the implementation to be useful for architectural description.



- I (the author) don't find the UML to be useful during the design process itself and prefer
- Informal notations that are quicker to write and which can be easily drawn on a white-board.
- The UML is of most value when you are **documenting** an architecture in detail or using model-driven development (see Chapter 5).



- Patterns are a means of representing, sharing and reusing knowledge about software systems.
- An architectural pattern is a stylized description of good design practice, which has been tried and tested in different environments.
- Patterns should include information about when they are and when the are not useful.
- Patterns may be represented using tabular and graphical descriptions.



- For example, the following Figures describe the wellknown Model-View-Controller pattern.
- This pattern is the basis of interaction management in many web-based systems.
- The stylized pattern description includes
  - the pattern name,
  - a brief description (with an associated graphical model),
  - and an example of the type of system where the pattern is used (again, perhaps with a graphical model).
- You should also include information about when the pattern should be used and its advantages and disadvantages.

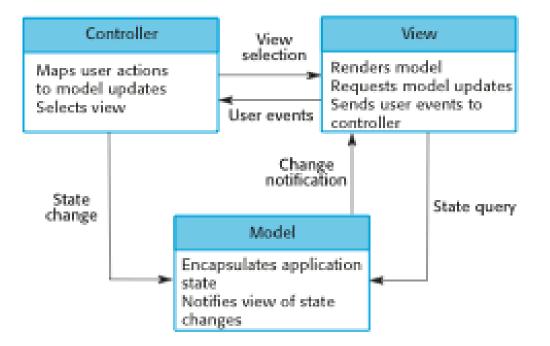
# The Model-View-Controller (MVC) pattern



Name	MVC (Model-View-Controller)
Description	Separates presentation and interaction from the system data. The system is structured into three logical components that interact with each other. The Model component manages the system data and associated operations on that data. The View component defines and manages how the data is presented to the user. The Controller component manages user interaction (e.g., key presses, mouse clicks, etc.) and passes these interactions to the View and the Model. See Figure 6.3.
Example	Figure 6.4 shows the architecture of a web-based application system organized using the MVC pattern.
When used	Used when there are multiple ways to view and interact with data. Also used when the future requirements for interaction and presentation of data are unknown.
Advantages	Allows the data to change independently of its representation and vice versa. Supports presentation of the same data in different ways with changes made in one representation shown in all of them.
Disadvantages	<b>Can involve additional code and code complexity</b> when the data model and interactions are simple.

# The organization of the Model-View-Controller





# Web application architecture using the MVC pattern



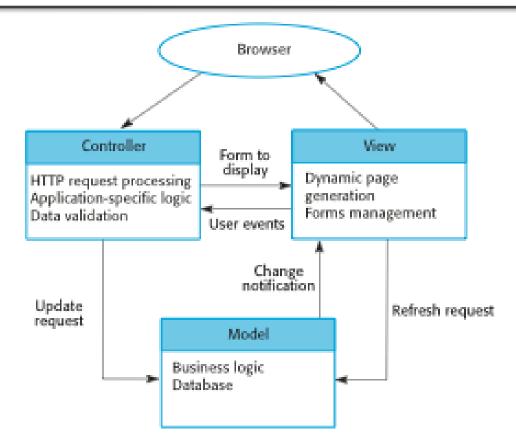


Figure 6.4 shows a possible run-time architecture when this pattern is used for interaction management in a web-based system. Chapter 6 Architectural design



- It is impossible to describe all of the generic patterns that can be used in software development.
- We will discuss some selected examples of patterns that are widely used and which capture good architectural design principles.
- You can find further examples of generic architectural patterns on the book's web pages.

# The Layered architecture pattern



- This layered approach supports the incremental development of systems.
- ♦ As a layer is developed, some of the services provided by that layer may be made available to users.
- $\diamond$  The architecture is also **changeable and portable**.
  - So long as its interface is unchanged, a layer can be replaced by another, equivalent layer.
- ♦ Furthermore, when layer interfaces change or new facilities are added to a layer, only the adjacent layer is affected.
- ♦ As layered systems localize machine dependencies in inner layers,
  - this makes it easier to provide multi-platform implementations of an application system.
  - Only the inner, machine-dependent layers need be re-implemented to take account of the facilities of a **different operating system or database**.

## The Layered architecture pattern



Name	Layered architecture
Description	Organizes the system into layers with related functionality associated with each layer. A layer provides services to the layer above it so the lowest-level layers represent core services that are likely to be used throughout the system. See Figure 6.6.
Example	A layered model of a system for sharing copyright documents held in different libraries, as shown in Figure 6.7.
When used	Used when building new facilities on top of existing systems; when the development is spread across several teams with each team responsibility for a layer of functionality; when there is a requirement for multi-level security.
Advantages	Allows <b>replacement of entire layers</b> so long as the interface is maintained. <b>Redundant facilities</b> (e.g., <b>authentication</b> ) can be provided in each layer to increase the dependability of the system.
Disadvantages	In practice, providing a clean separation between layers is often difficult and a high-level layer may have to interact directly with lower-level layers rather than through the layer immediately below it. → Performance can be a problem because of multiple levels of interpretation of a service request as it is processed at each
	layer. Chapter 6 Architectural design



♦ Used to model the interfacing of sub-systems.

- Organises the system into a set of layers (or abstract machines) each of which provide a set of services.
- Supports the incremental development of subsystems in different layers. When a layer interface changes, only the adjacent layer is affected.
- However, often artificial to structure systems in this way.



User interface

User interface management Authentication and authorization

Core business logic/application functionality System utilities

System support (OS, database etc.)



 $\diamond$  is an example of a layered architecture with four layers.

- 1. The lowest layer includes system support software typically database and operating system support.
- 2. The next layer is the **application layer** that includes the components concerned with the application functionality and utility components that are used by other application components.
- 3. The third layer is concerned with **user interface management and providing user authentication and authorization**,
- 4. the top layer providing **user interface facilities**.
- ♦ Of course, the number of layers is arbitrary.
- Any of the layers in the Figure could be split into two or more layers.

The architecture of the LIBSYS system (Another Example of Layered Architecture pattern when applied to a library system)



Web browser interface					
LIBSYS login	Forms and query manager		Print manager		
Distributed search	Document retrieval	Rights manager	Accounting		
Library index					
DB1 C	DB3	DB	4 DBn		





- Repository pattern (Figure 6.8), describes how a set of interacting components can share data.
- The Client-server pattern is a very commonly used run-time organization for distributed systems.
- The final example of an architectural pattern is the pipe and filter pattern.
  - This is a model of the run-time organization of a system where functional transformations process their inputs and produce outputs



Sub-systems must exchange data. This may be done in two ways:

- Shared data is held in a central database or repository and may be accessed by all sub-systems;
- Or each sub-system maintains its own database and passes data explicitly to other sub-systems.

When large amounts of data are to be shared, the repository model of sharing is most commonly used as this is an efficient data sharing mechanism.

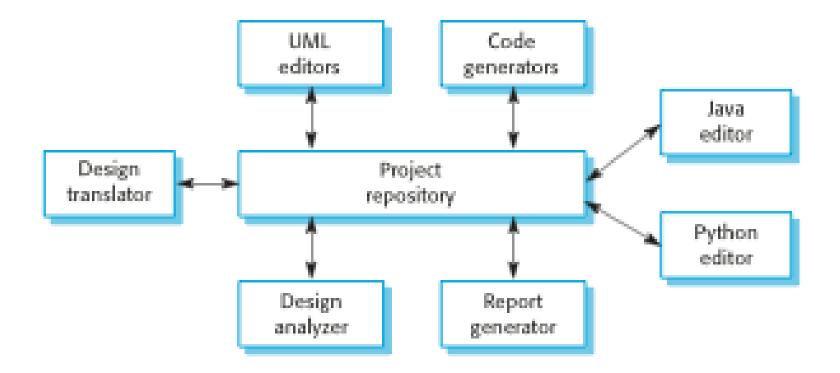
#### The Repository pattern



Name	Repository
Description	All data in a system is managed in <b>a central repository</b> that is accessible to all system components. <b>Components do not interact directly,</b> only through the repository.
Example	Figure 6.9 is an example of an IDE where the components use a repository of system design information. <b>Each software tool</b> <b>generates</b> information which is then available for use by other tools.
When used	You should use this pattern when you have a system in which large volumes of information are generated that has to be stored for a long time. You may also use it in data-driven systems where the inclusion of data in the repository triggers an action or tool.
Advantages	<b>Components can be independent</b> —they do not need to know of the existence of other components. Changes made by one component can be <b>propagated to all components</b> . All <b>data can be managed consistently</b> (e.g., backups done at the same time) as it is all in one place.
Disadvantages	The repository is a single point of failure so problems in the repository affect the whole system. May be inefficiencies in organizing all communication through the repository. Distributing the repository across several computers may be difficult.

# A repository architecture for an IDE







- Distributed system model which shows how data and processing is distributed across a range of components.
  - Can be implemented on a single computer.
- Set of stand-alone servers which provide specific services such as printing, data management, etc.
- $\diamond$  Set of clients which call on these services.
- ♦ Network which allows clients to access servers.



- An important benefit is separation and independence.
- Services and servers can be changed without affecting other parts of the system.
- Clients may have to know the names of the available servers and the services that they provide.
- However, servers do not need to know the identity of clients or how many clients are accessing their services.
- Effective use can be made of networked systems with many distributed processors.
- It is easy to add a new server and integrate it with the rest of the system.
  Chapter 6 Architectural design
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### **The Client–server pattern**



Name	Client-server
Description	In a client–server architecture, the <b>functionality</b> of the system is <b>organized into services</b> , with each service delivered from a <b>separate server</b> . <b>Clients are users of these services</b> and access servers to make use of them.
Example	Figure 6.11 is an example of <b>a film and video/DVD</b> library organized as a client–server system.
When used	Used when data in a shared database has to be accessed from a range of locations. Because servers can be replicated, may also be used when the load on a system is variable.
Advantages	The principal advantage of this model is that servers can be distributed across a network. General functionality (e.g., a printing service) can be available to all clients and does not need to be implemented by all services.
Disadvantages	Each service is a single point of failure so susceptible to denial of service attacks or server failure. Performance may be unpredictable because it depends on the network as well as the system. May be management problems if servers are owned by different organizations.

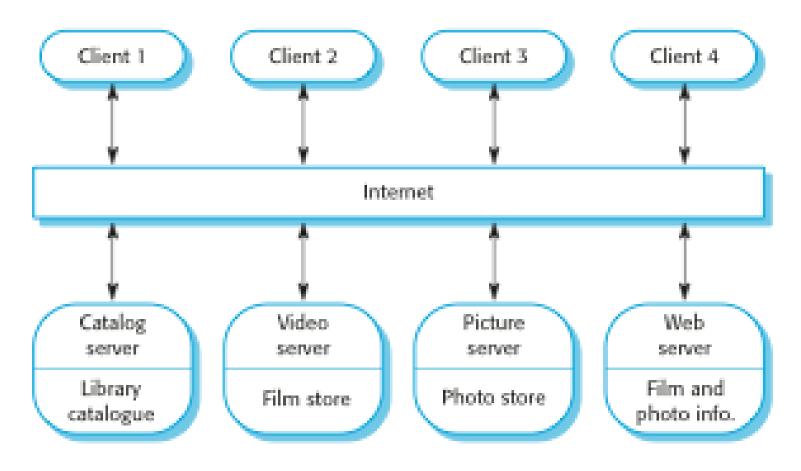
An example of a system that is based on the client–server model.



- This is a multi-user, web-based system for providing a film and photograph library.
- In this system, several servers manage and display the different types of media.
- Video frames need to be transmitted quickly and in synchrony but at relatively low resolution.
- They may be compressed in a store, so the video server can handle video compression and decompression in different formats.
- Still pictures however, must be maintained at a high resolution, so it is appropriate to maintain them on a separate server.

# A client–server architecture for a film library







Functional transformations process their inputs to produce outputs.

- Any be referred to as a pipe and filter model (as in UNIX shell).
- Variants of this approach are very common. When transformations are sequential, this is a batch sequential model which is **extensively used in data processing** systems.
- ♦ Not really suitable for interactive systems.

#### The pipe and filter pattern

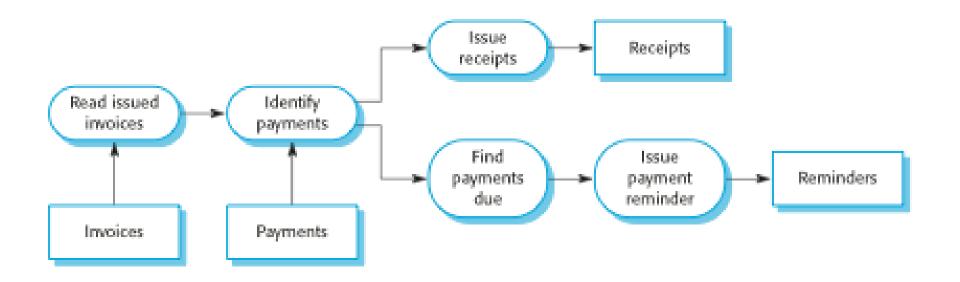


Name	Pipe and filter
Description	The processing of the data in a system is organized so that <b>each processing component</b> (filter) is discrete and <b>carries out one type of data transformation</b> . The data flows (as in a pipe) from one component to another for processing.
Example	Figure 6.13 is an example of a pipe and filter system used for processing invoices.
When used	<b>Commonly used in data processing</b> applications (both batch- and transaction-based) where <b>inputs are processed in separate stages to generate related outputs.</b>
Advantages	Easy to understand and supports transformation reuse. Workflow style <b>matches the structure of many business processes</b> . Evolution by adding transformations is straightforward. Can be implemented as either a sequential or concurrent system.
Disadvantages	The format for data transfer has to be agreed upon between communicating transformations. Each transformation must parse its input and unparse its output to the agreed form. This increases system overhead and may mean that it is impossible to reuse functional transformations that use incompatible data structures.



- An example of this type of system architecture, used in a batch processing application, is shown in Figure 6.13. An organization has issued invoices to customers.
- Once a week, payments that have been made are reconciled with the invoices.
- For those invoices that have been paid, a receipt is issued.
- For those invoices that have not been paid within the allowed payment time, a reminder is issued.

# An example of the pipe and filter architecture





- A software architecture is a description of how a software system is organized.
- Architectural design decisions include decisions on the type of application, the distribution of the system, the architectural styles to be used.
- Architectures may be documented from several different perspectives or views such as a conceptual view, a logical view, a process view, and a development view.
- Architectural patterns are a means of reusing knowledge about generic system architectures. They describe the architecture, explain when it may be used and describe its advantages and disadvantages.



### **Chapter 6 – Architectural Design**

# Lecture 2

**Application architectures (section 6.4)** 



- Application systems are designed to meet an organizational need.
- As businesses have much in common, their application systems also tend to have a common architecture that reflects the application requirements.
- A generic application architecture is an architecture for a type of software system that may be configured and adapted to create a system that meets specific requirements.



 $\diamond$  As **a starting point** for architectural design.

♦ As a design checklist.

- As a way of organizing the work of the development team.
- As a means of assessing components for reuse.

As a vocabulary for talking about application types.



### ♦ Data processing applications

- Data driven applications that process data in batches without explicit user intervention during the processing.
- ♦ Transaction processing applications
  - Data-centred applications that process user requests and update information in a system database.
- $\diamond$  Event processing systems
  - Applications where system actions depend on interpreting events from the system's environment.
- $\diamond$  Language processing systems
  - Applications where the users' intentions are specified in a formal language that is processed and interpreted by the system.



Focus here is on transaction processing and language processing systems.

- ♦ Transaction processing systems
  - E-commerce systems;
  - Reservation systems.
- ♦ Language processing systems
  - Compilers;
  - Command interpreters.



- Technically, a database transaction is sequence of operations that is treated as a single unit (an atomic unit).
- All of the operations in a transaction have to be completed before the database changes are made permanent.
- This ensures that failure of operations within the transaction does not lead to inconsistencies in the database.



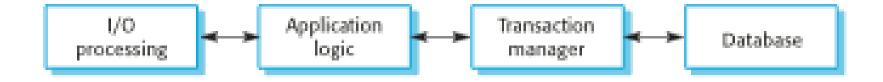
Process user requests for information from a database or requests to update the database.

♦ From a user perspective a transaction is:

- Any **coherent sequence** of operations that **satisfies a goal**;
- For example find the times of flights from London to Paris.
- Users make asynchronous requests for service which are then processed by a transaction manager.

# The conceptual architectural structure of transaction processing





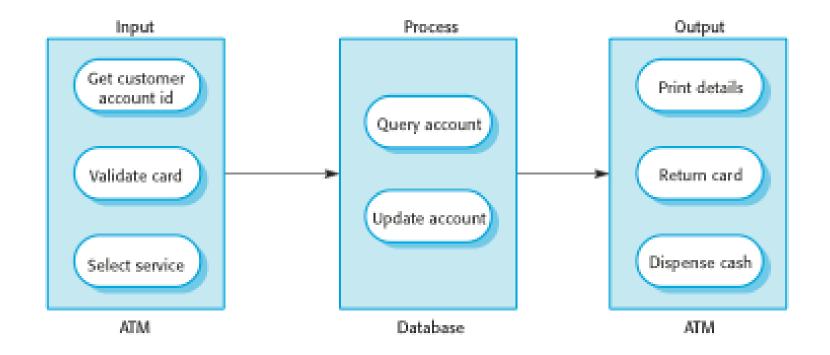


 $\diamond$  Transaction processing systems may be organized as a 'pipe and filter' architec-ture with system components responsible for input, processing, and output.

# $\diamond$ For example, **consider an ATM**.

- The system is composed of two cooperating software components-the ATM software and the account processing software in the bank's database server.
- The input and output components are implemented as software in the ATM and the processing component is part of the bank's database server. Chapter 6 Architectural design

### The software architecture of an ATM system: A 'pipe and filter' architecture





- All systems that involve interaction with a shared database can be considered to be transaction-based information systems.
- An information system allows controlled access to a large base of information, such as
  - a library catalog,
  - a flight timetable,
  - or the records of patients in a hospital.
- Increasingly, information systems are web-based systems that are accessed through a web browser.



- Information systems have a generic architecture that can be organized as a layered architecture.
- These are transaction-based systems as interaction with these systems generally involves database transactions.
- $\diamond$  Layers include:
  - The user interface
  - User communications
  - Information retrieval
  - System database

### Layered information system architecture



User interface				
User communications Authentication and authorization				
Information retrieval and modification				
Transaction management Database				

# Layered information system architecture



- 1. The top layer is responsible for implementing the user interface. In this case, the UI has been implemented using a web browser.
- 2. The second layer provides the user interface functionality that is delivered through the web browser.
  - It includes components to allow users to log in to the system and checking components that ensure that the operations they use are allowed by their role. This layer includes form and menu management components that present information to users, and data validation components that check information consistency.
- 3. The third layer implements the functionality of the system and provides components that implement system security, patient information creation and updating, import and export of patient data from other databases, and report generators that create management reports.

### The architecture of the MHC-PMS



Web browser				
Login Role checking <sup>Fo</sup>	rm and menu manager	Data validation		
Security Patient info. management manager		Report generation		
Transaction management Patient database				



- Information and resource management systems are now usually web-based systems where the user interfaces are implemented using a web browser.
- ♦ For example, e-commerce systems are
  - Internet-based resource management systems
  - that accept electronic orders for goods or services and then
  - arrange delivery of these goods or services to the customer.

In an e-commerce system, the application-specific layer includes additional functionality supporting a 'shopping cart' in which users can place a number of items in separate transactions, then pay for them all together in a single transaction.



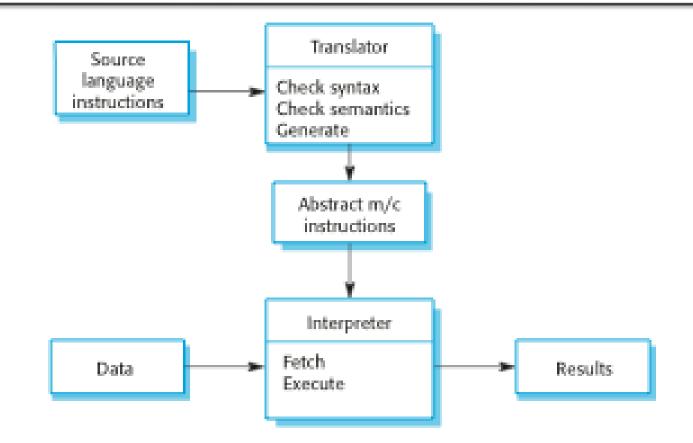
These systems are often implemented as multi-tier client server/architectures (discussed in Chapter 18)

- The web server is responsible for all user communications, with the user interface implemented using a web browser;
- The application server is responsible for implementing application-specific logic as well as information storage and retrieval requests;
- The database server moves information to and from the database and handles transaction management.
- Using multiple servers allows high throughput and makes it possible to handle hundreds of transactions per minute.
- As demand increases, servers can be added at each level to cope with the extra processing involved.



- Accept a natural or artificial language as input and
- ♦ generate some other representation of that language.
- Any include an interpreter to act on the instructions in the language that is being processed.
- Used in situations where the easiest way to solve a problem is to describe an algorithm or describe the system data
  - Meta-case tools process tool descriptions, method rules, etc and generate tools.

# The architecture of a language processing system





- A lexical analyzer, which takes input language tokens and converts them to an internal form.
- A symbol table, which holds information about the names of entities (variables, class names, object names, etc.) used in the text that is being translated.
- A syntax analyzer, which checks the syntax of the language being translated.
- A syntax tree, which is an internal structure representing the program being compiled.



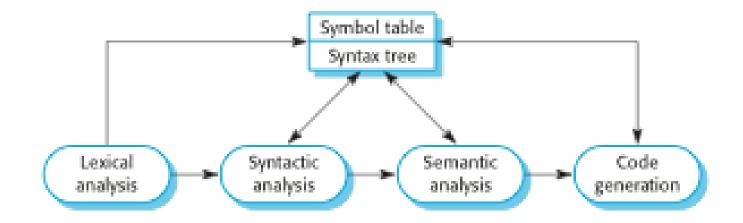
- A semantic analyzer that uses information from the syntax tree and the symbol table to check the semantic correctness of the input language text.
- A code generator that 'walks' the syntax tree and generates abstract machine code.



- Compilers can be implemented using a composite of a repository and a pipe and filter model.
- In a compiler architecture, the symbol table is a repository for shared data.
- The phases of lexical, syntactic, and semantic analysis are organized sequentially, as shown the Figure and communicate through the shared symbol table.

## A pipe and filter compiler architecture



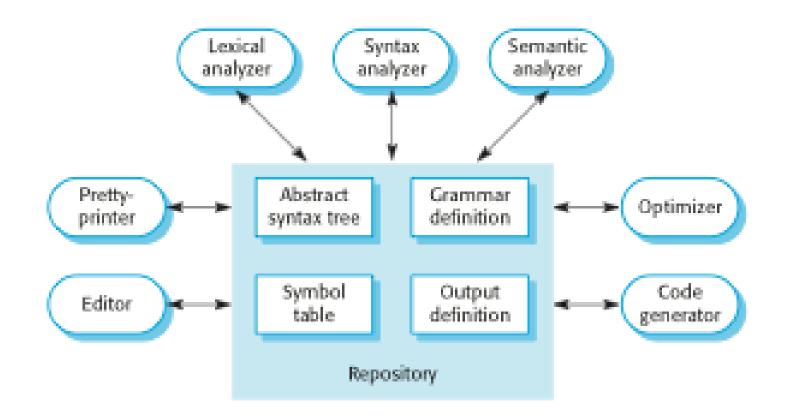




- This pipe and filter model of language compilation is effective in batch environments where programs are compiled and executed without user interaction;
- It is less effective when a compiler is integrated with other language processing tools such as a structured editing system, an interactive debugger.
- In this situation, changes from one component need to be reflected immediately in other components.
- ♦ It is better, therefore, to organize the system around a repository, as shown in Figure 6.20.

# A repository architecture for a language processing system







- Addels of application systems architectures help us understand and compare applications, validate application system designs and assess large-scale components for reuse.
- Transaction processing systems are interactive systems that allow information in a database to be remotely accessed and modified by a number of users.
- Language processing systems are used to translate texts from one language into another and to carry out the instructions specified in the input language. They include a translator and an abstract machine that executes the generated language.